



*Linear LiDAR versus
Geiger-mode
LiDAR:
data properties
and data quality*

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RIEGL LMS, CTO*

*EuroCOW
February 11th, 2016
Lausanne, Switzerland*

Innovation in 3D



contents



Linear LIDAR data properties

Geiger-mode LIDAR basics

spatial resolution & scan pattern & acquisition speed

waveform information & multi-look acquisition

detection probability & penetration of vegetation

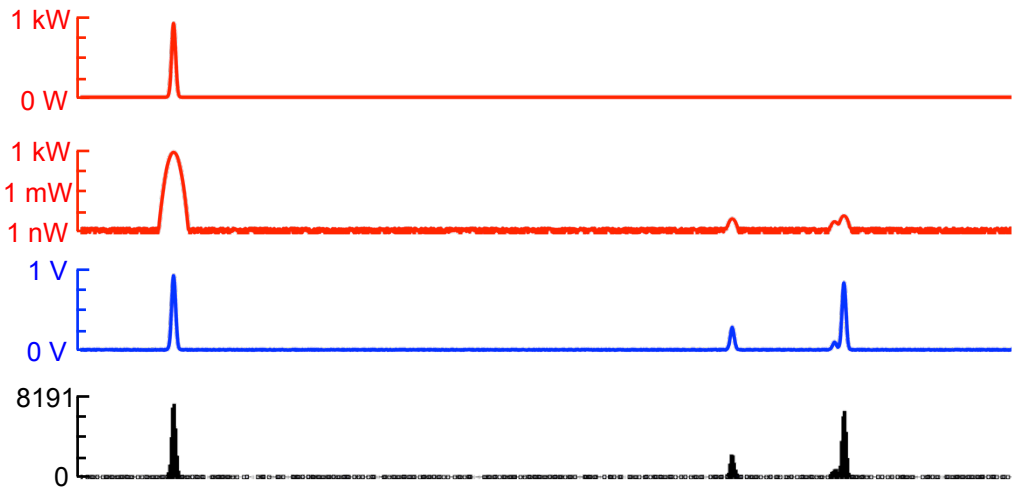
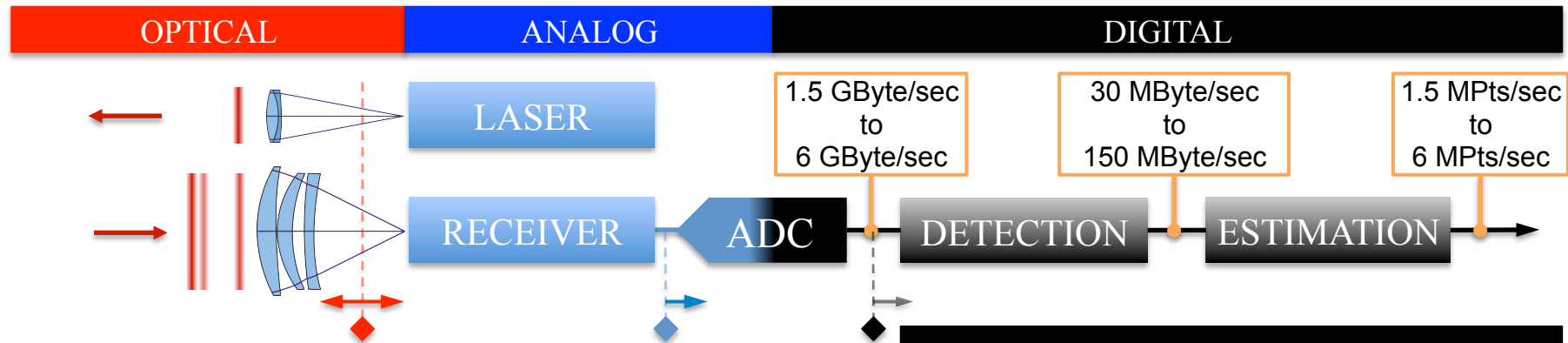
measurement accuracy and measurement noise

measuring low cross-section objects and DTM

comparative summary



Linear LIDAR with pure digital signal processing



- ### SIGNAL DETECTION
- sample block / sample datagram for Full Waveform Analyses
 - internal sample datagrams for Online Waveform Processing

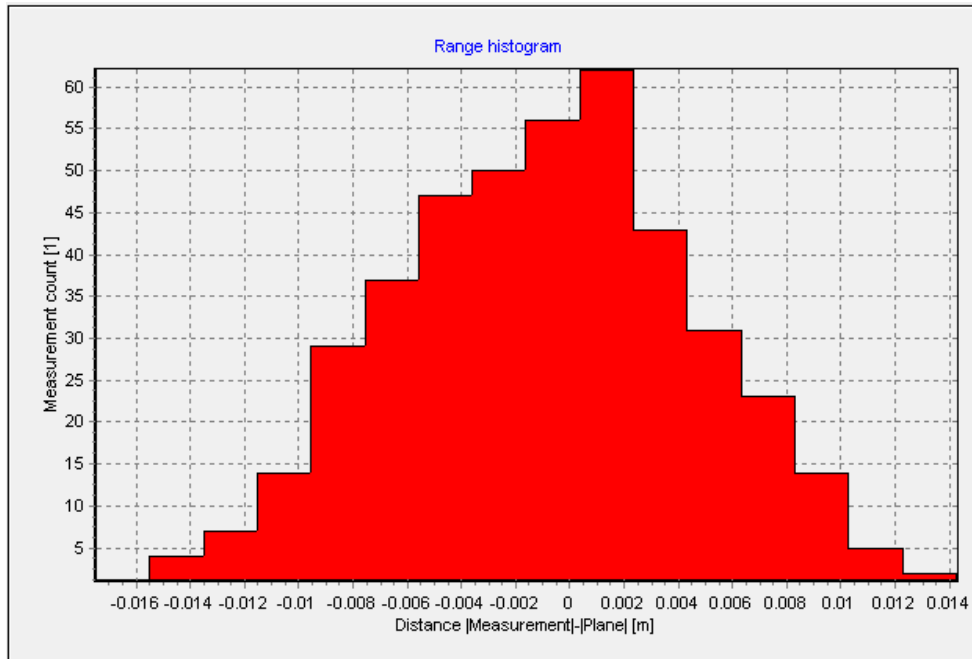
- ### SIGNAL ESTIMATION
- temporal position / range
 - signal strength / amplitude / reflectance
 - pulse width / pulse shape deviation
 - backscatter coefficient of turbid media

Linear LIDAR with pure digital signal processing



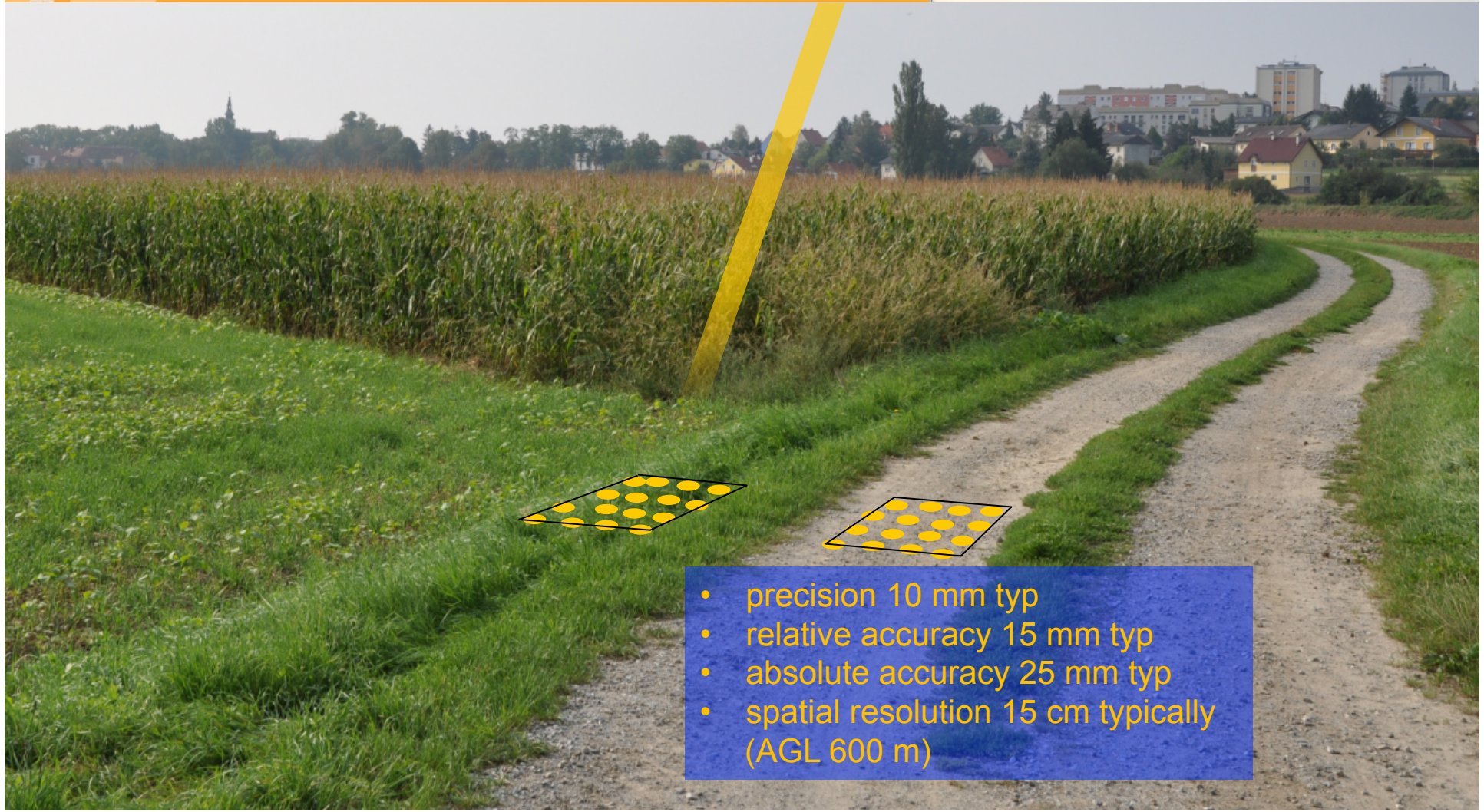
- high ranging accuracy
- low range noise / high precision
- highest multi-target resolution
- valuable pulse shape information for cleaning up point clouds, assisting classification, filtering
- solid basis for radiometric measurements

Linear LIDAR: ranging precision – relative accuracy



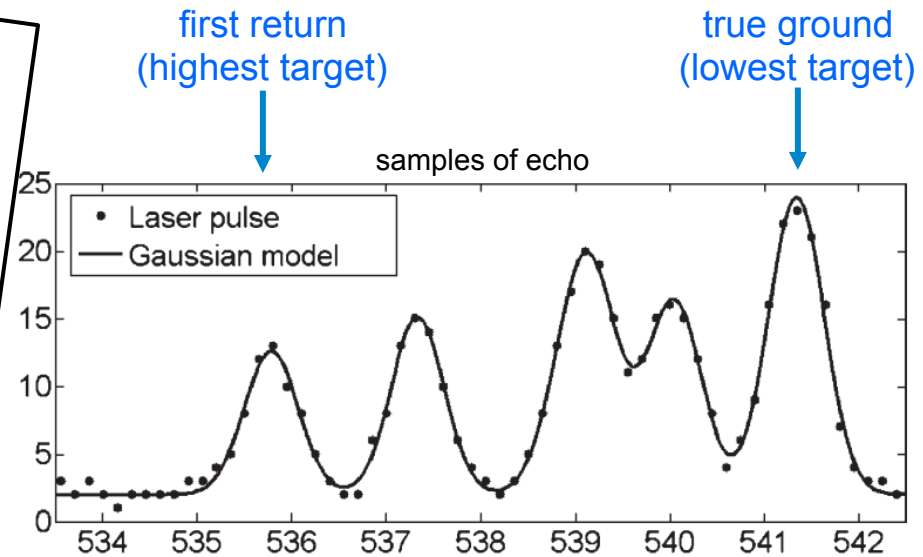
- 425 measurements on flat 30 m² area of parking lot
- least-square fitting of plane
- standard deviation 5 mm

Linear LIDAR with pure digital signal processing



- precision 10 mm typ
- relative accuracy 15 mm typ
- absolute accuracy 25 mm typ
- spatial resolution 15 cm typically (AGL 600 m)

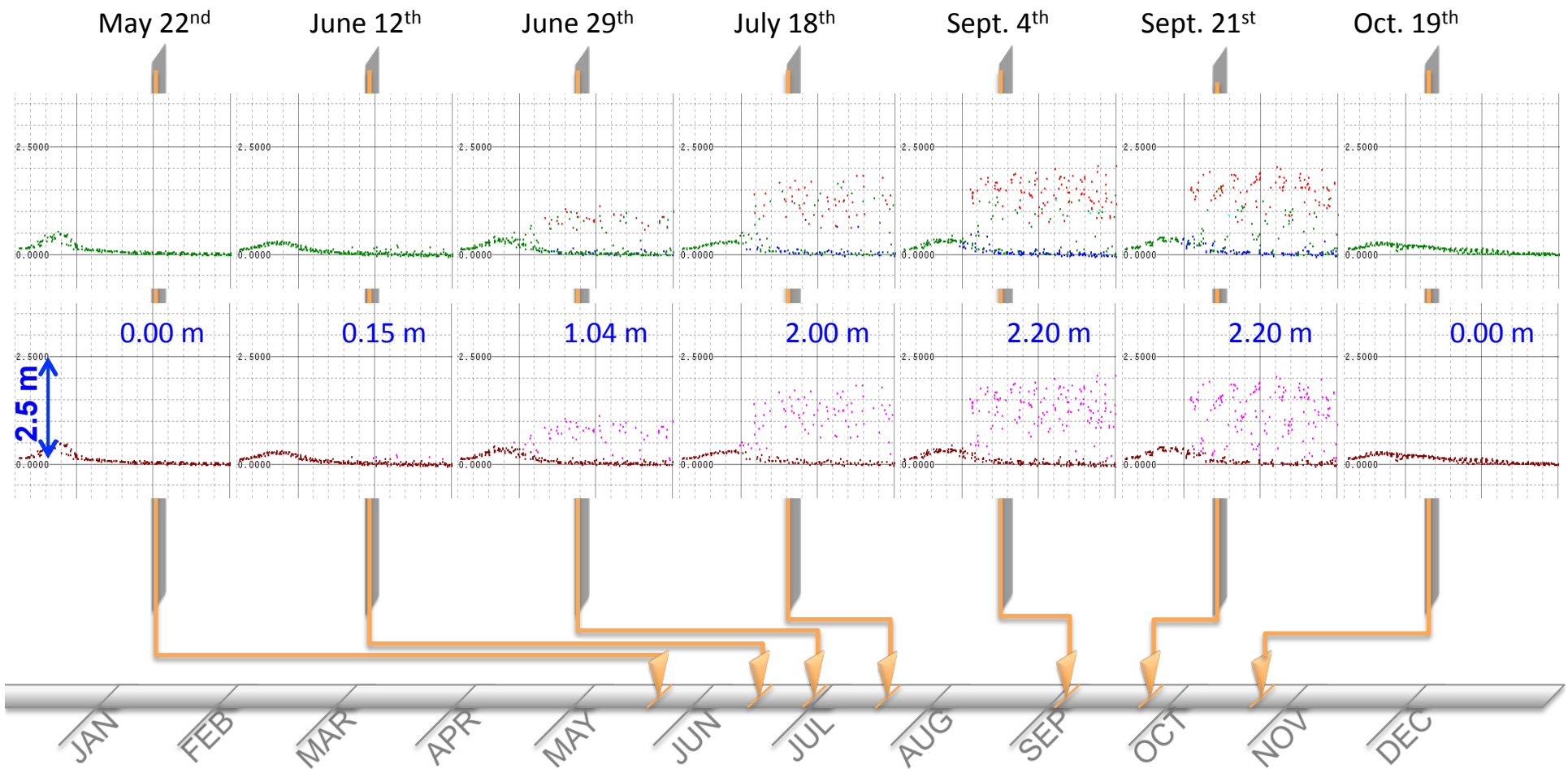
Linear LIDAR with pure digital signal processing



Gaussian decomposition

- fits Gaussian system response
- estimates pulse width
- estimates target's depth (width)
- robust and fast
- *RIEGL RiANALYZE*

Linear LIDAR with pure digital signal processing

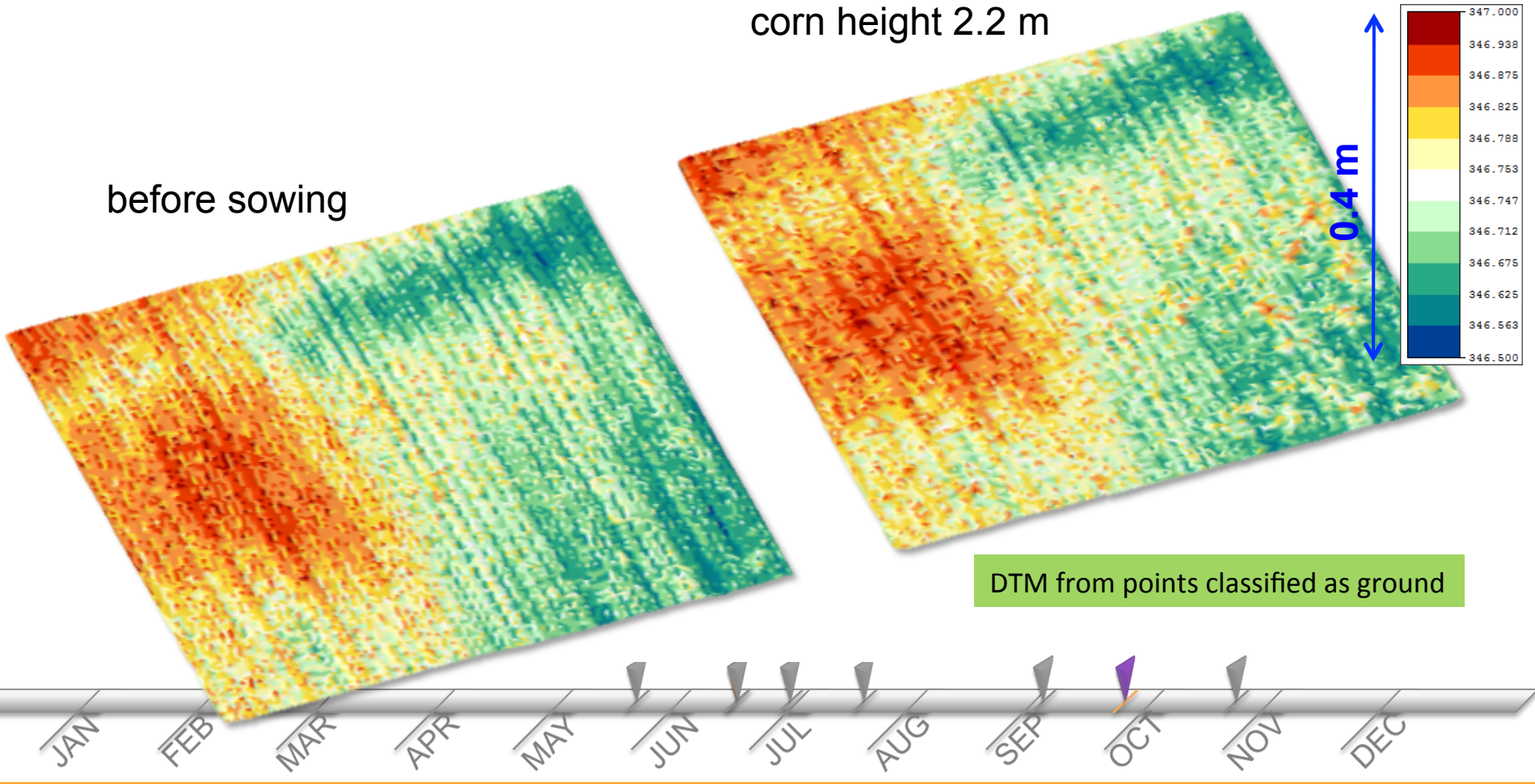


Linear LIDAR with pure digital signal processing



corn height 2.2 m

before sowing



DTM from points classified as ground



Linear LIDAR: radiometrically calibrated data



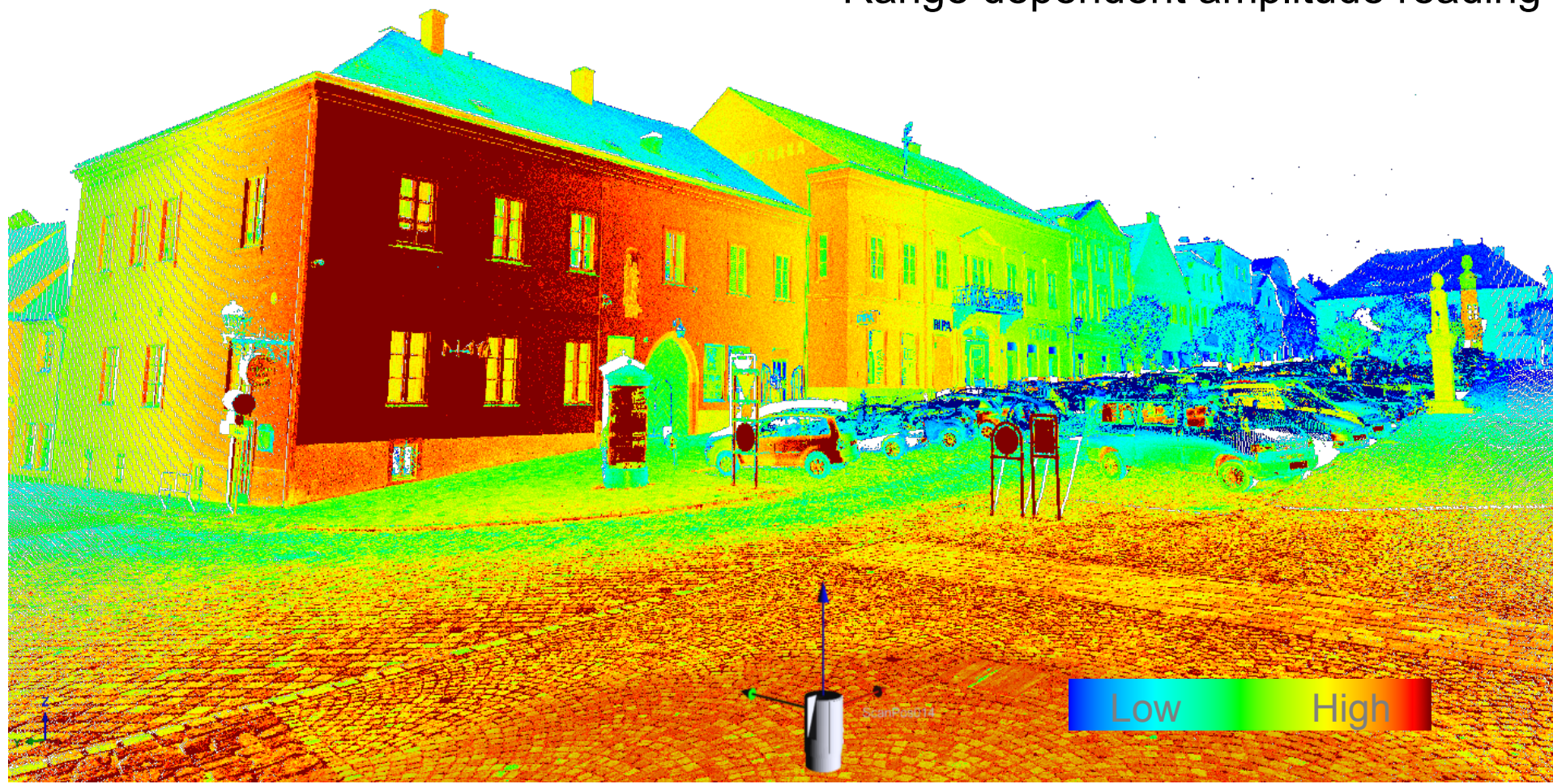
for all *RIEGL* instruments:

- factory calibration of amplitude and reflectance for each LIDAR unit
- *RIEGL*'s **amplitude** readings
 - calibrated ✓
 - given for the **optical regime** in dB relative to detection threshold ✓
- *RIEGL*'s **reflectance** readings are
 - calibrated ✓
 - in dB relative to a white diffusely reflecting target ✓
- benefits for the user
 - improved visual inspection of scans
 - automatic retrieval of retro-reflecting targets
 - straightforward radiometric calibration of data sets

Linear LIDAR: radiometrically calibrated data



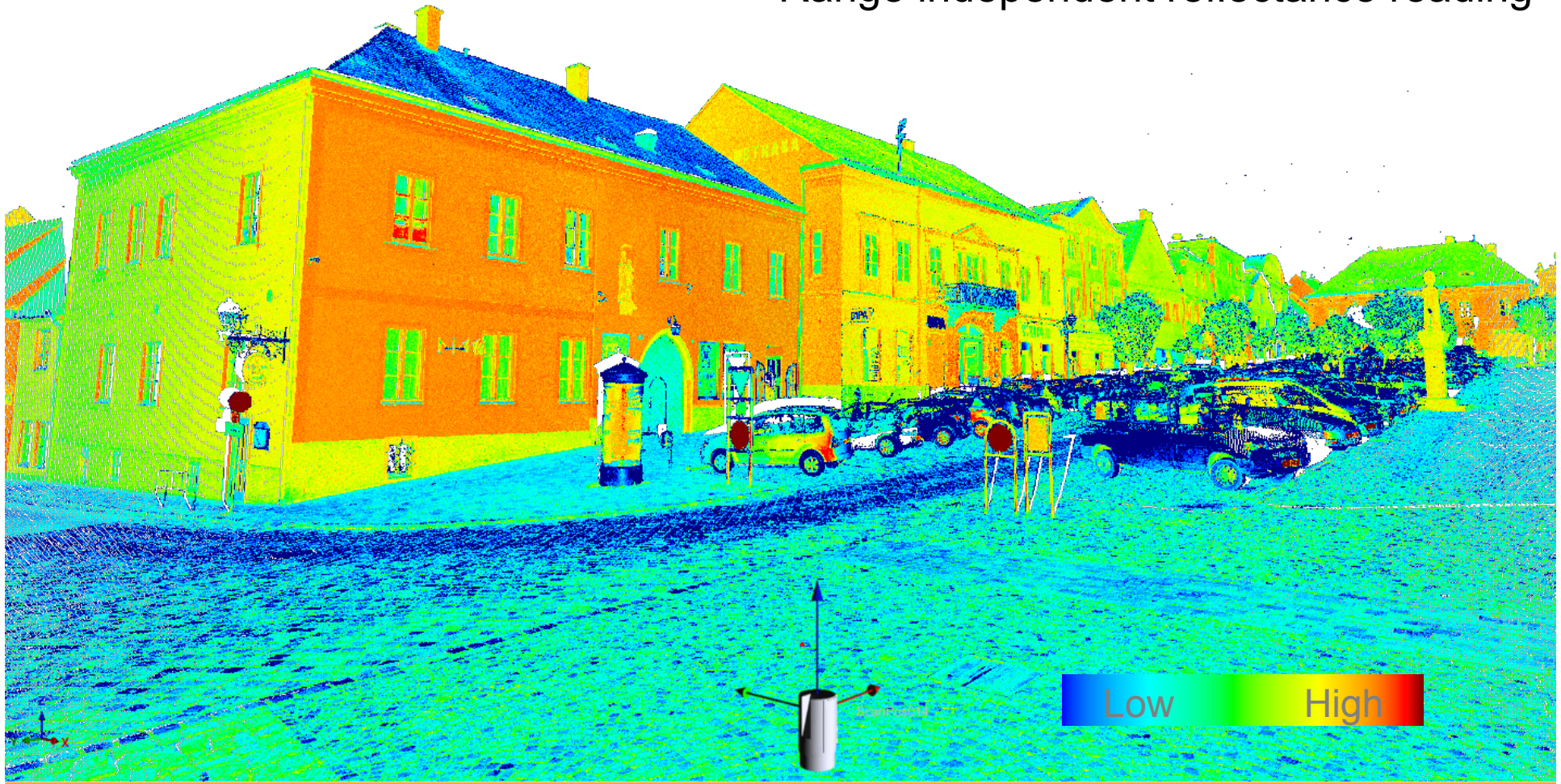
Range dependent amplitude reading



Linear LIDAR:
radiometrically calibrated data



Range independent reflectance reading



**Linear LIDAR:
pulse shape attribute**



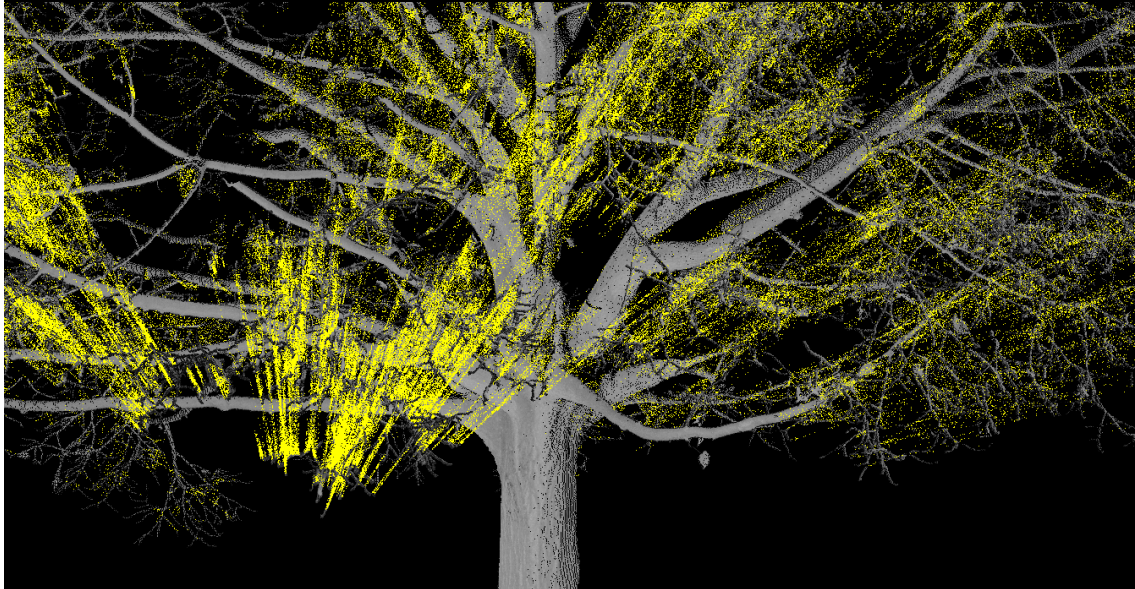
all points (regardless of pulse shape deviation)



Linear LIDAR: pulse shape attribute



points with pulse shape deviation in excess of threshold



**Linear LIDAR:
pulse shape attribute**



cleaned-up point cloud



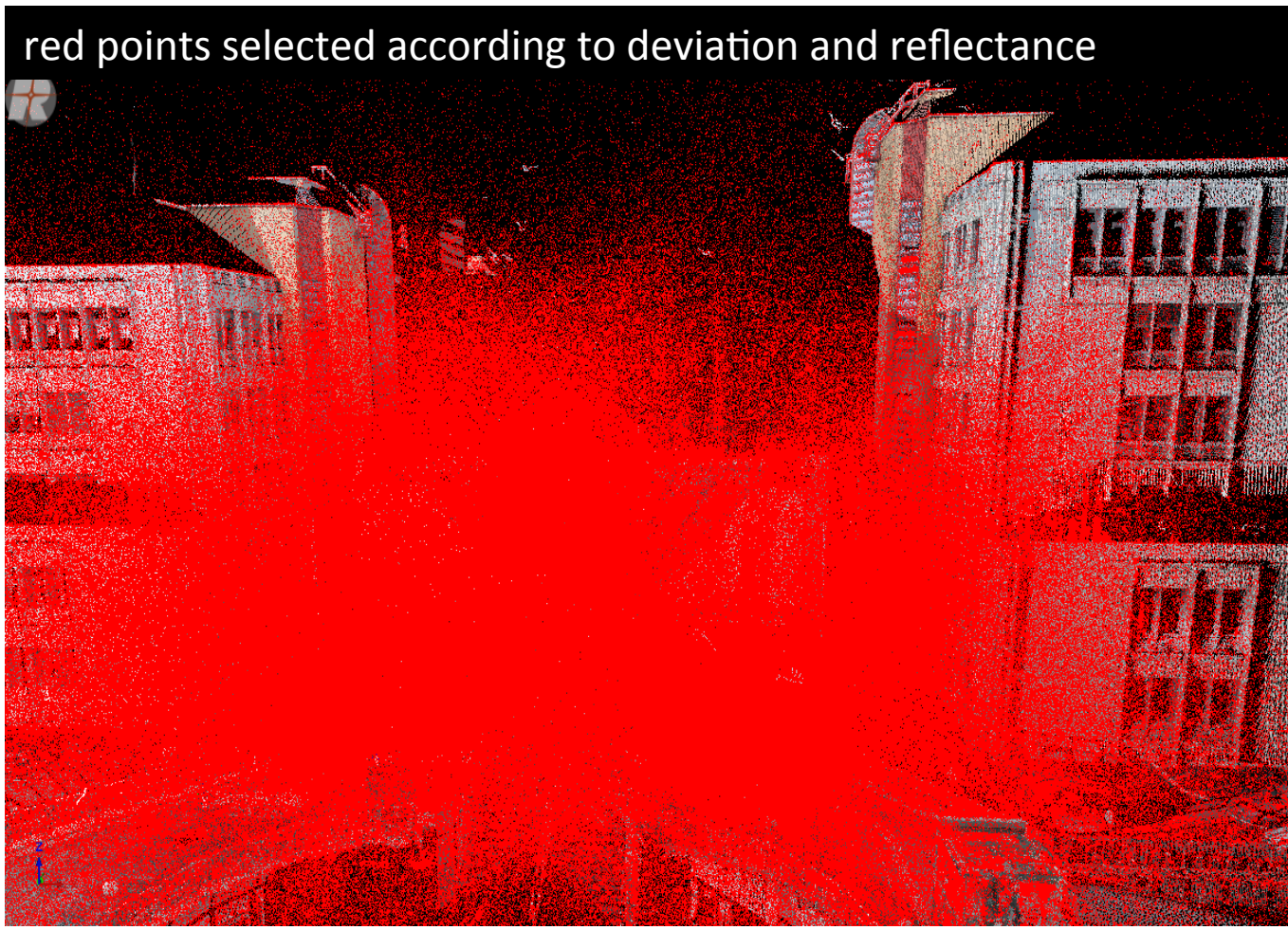
Linear LIDAR: pulse shape attribute



all points (regardless of point attributes)



Linear LIDAR: pulse shape attribute



Selection tool [140708_121951]

Type	Description
<input type="checkbox"/>	Range Disabled
<input type="checkbox"/>	Theta Disabled
<input type="checkbox"/>	Phi Disabled
<input type="checkbox"/>	Amplitude Disabled
<input type="checkbox"/>	Reflectance Disabled
<input checked="" type="checkbox"/>	Deviation Deviation >= 25
<input type="checkbox"/>	MTA confidence Disabled
<input type="checkbox"/>	Timestamp Disabled
<input type="checkbox"/>	Red Disabled
<input type="checkbox"/>	Green Disabled
<input type="checkbox"/>	Blue Disabled

Deviation >= 25 1 and
 Deviation <= 100 1
 Inverted From selection

Bas: **Deviation Filter**
Mode: Select points Exclusive

Selection tool [140708_121951]

Type	Description
<input type="checkbox"/>	Range Disabled
<input type="checkbox"/>	Theta Disabled
<input type="checkbox"/>	Phi Disabled
<input type="checkbox"/>	Amplitude Disabled
<input checked="" type="checkbox"/>	Reflectance Reflectance <= -25.00
<input type="checkbox"/>	Deviation Disabled
<input type="checkbox"/>	MTA confidence Disabled
<input type="checkbox"/>	Timestamp Disabled
<input type="checkbox"/>	Red Disabled
<input type="checkbox"/>	Green Disabled
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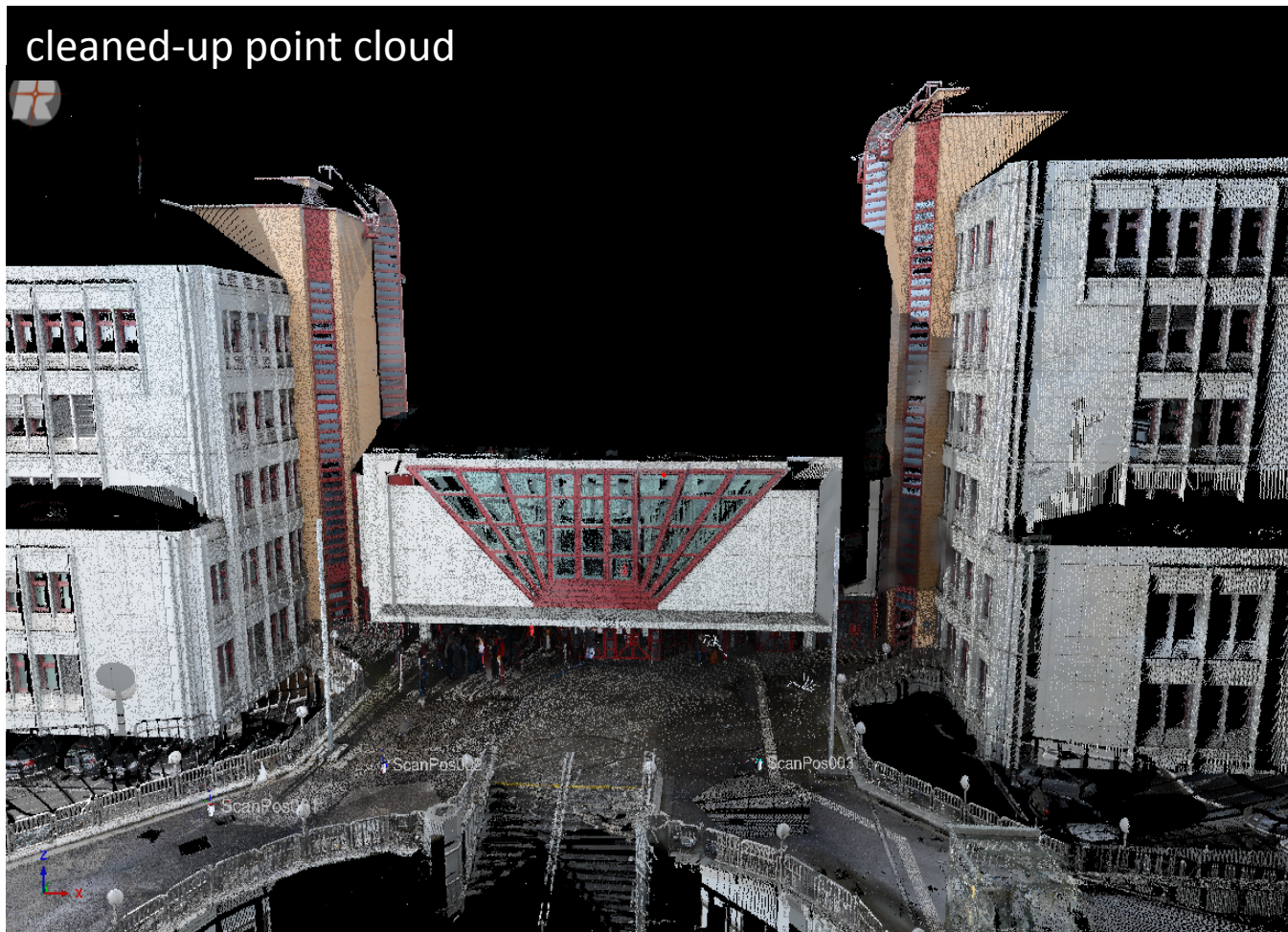
Reflectance <= -25.00 dB or
 Reflectance >= 5.00 dB
 Inverted From selection

E: **Reflectance Filter**

Default Start



Linear LIDAR: attribute pulse shape deviation



Selection tool [140708_121951]

Type	Description
<input type="checkbox"/>	Range Disabled
<input type="checkbox"/>	Theta Disabled
<input type="checkbox"/>	Phi Disabled
<input type="checkbox"/>	Amplitude Disabled
<input type="checkbox"/>	Reflectance Disabled
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<input type="checkbox"/>	MTA confidence Disabled
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<input type="checkbox"/>	Green Disabled
<input type="checkbox"/>	Blue Disabled

Deviation >= 25 1 and
 Deviation <= 100 1
 Inverted From selection

Bas: **Deviation Filter**
Mode: | Select points | Exclusive

Selection tool [140708_121951]

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Reflectance <= -25.00 dB or
 Reflectance >= 5.00 dB
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E: **Reflectance Filter**

Default Start



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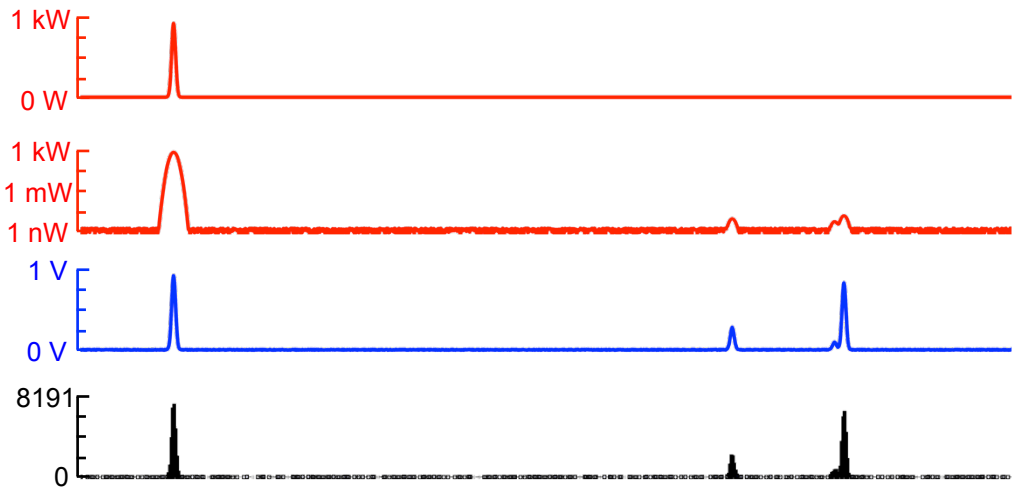
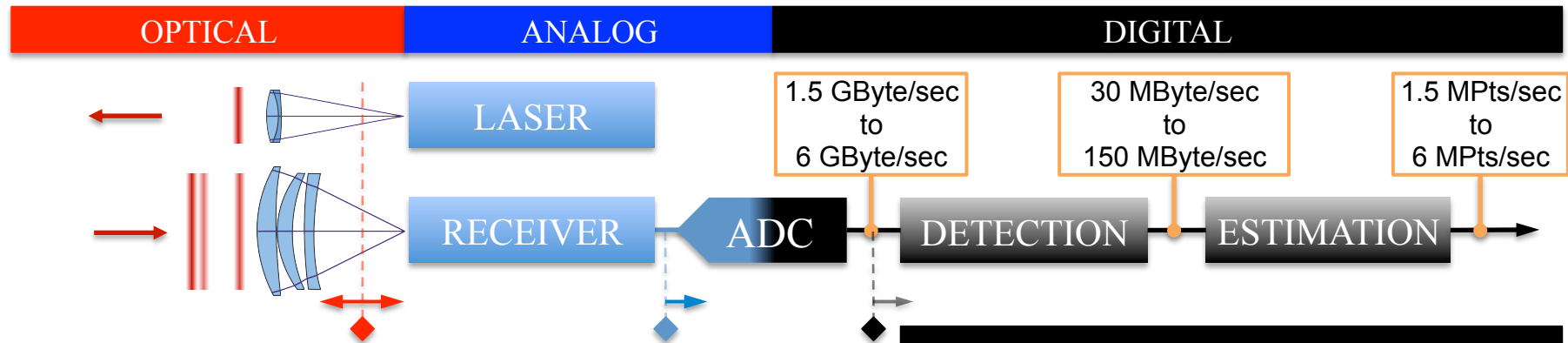
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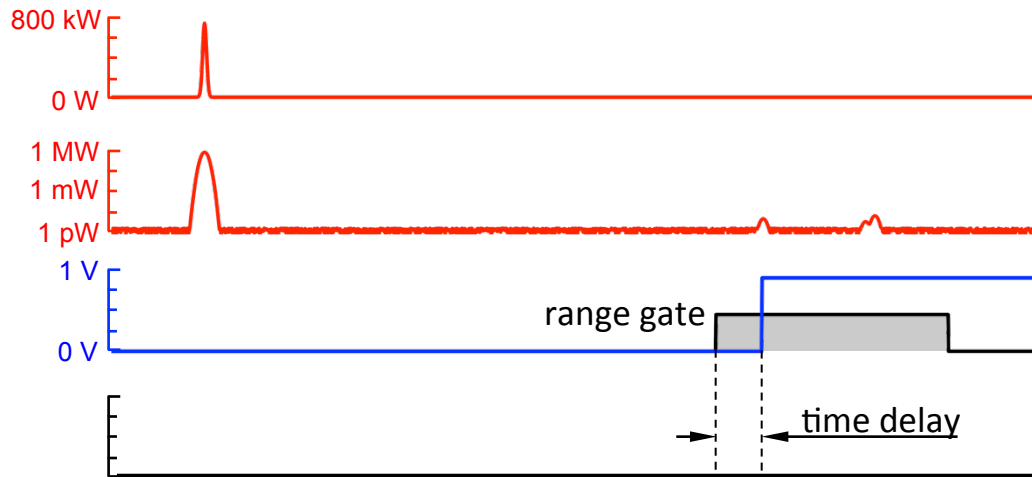
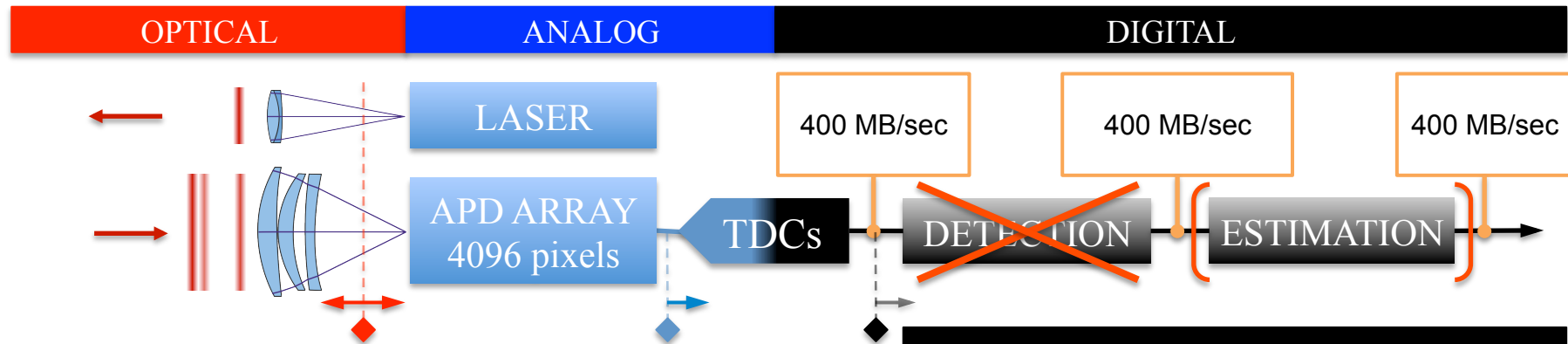
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Geiger-mode processing



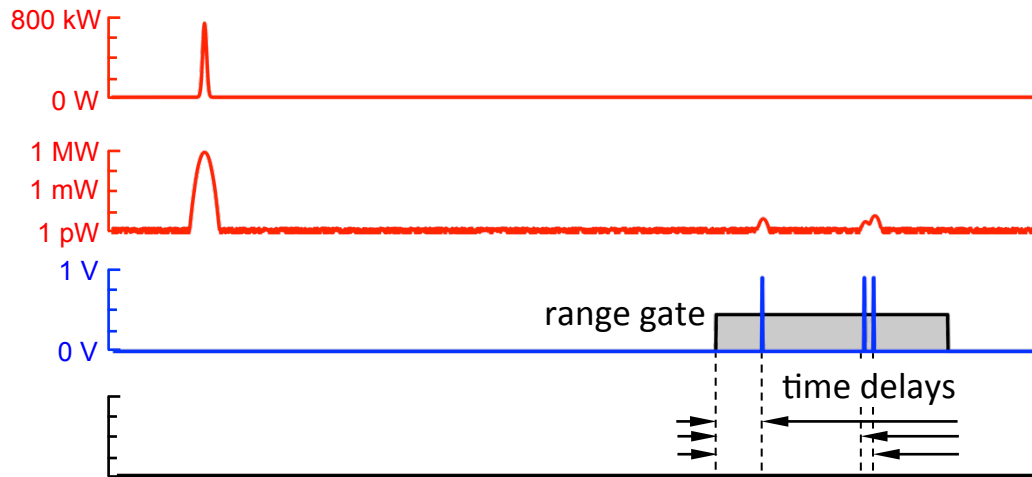
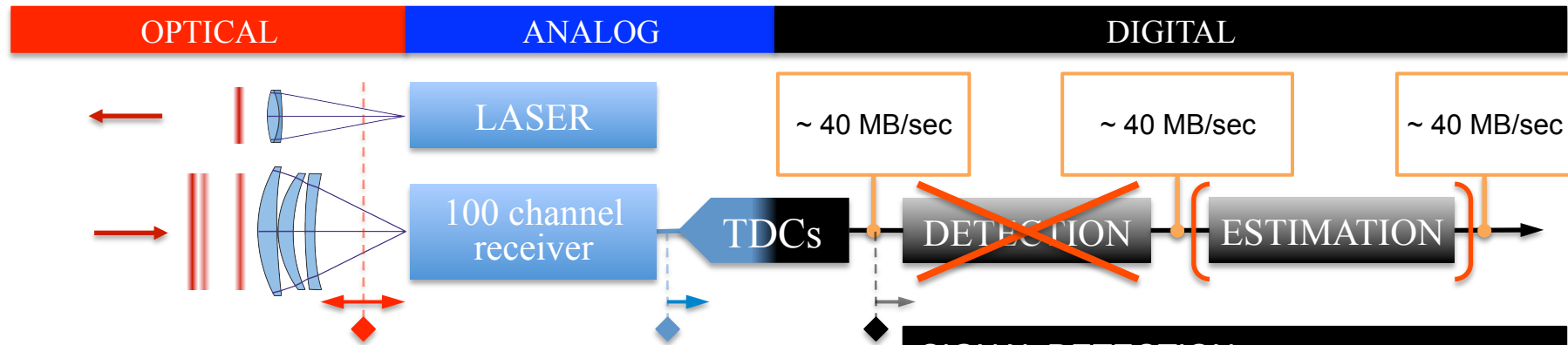
SIGNAL DETECTION

- inside Geiger mode APD array
- changed by varying laser power
- changed by varying photon detection efficiency (PDE)

SIGNAL ESTIMATION

- temporal position / range
- ~~signal strength / amplitude / reflectance~~
- ~~pulse width / pulse shape deviation~~
- ~~backscatter coefficient of turbid media~~

Single Photon LIDAR processing



- ### SIGNAL DETECTION
- inside array
 - changed by varying laser power
 - changed by varying photon detection efficiency (PDE)

- ### SIGNAL ESTIMATION
- temporal position / range
 - ~~signal strength / amplitude / reflectance~~
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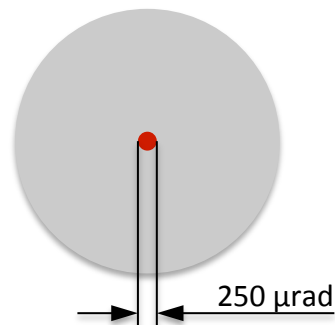


what a difference a detector makes: spatial resolution



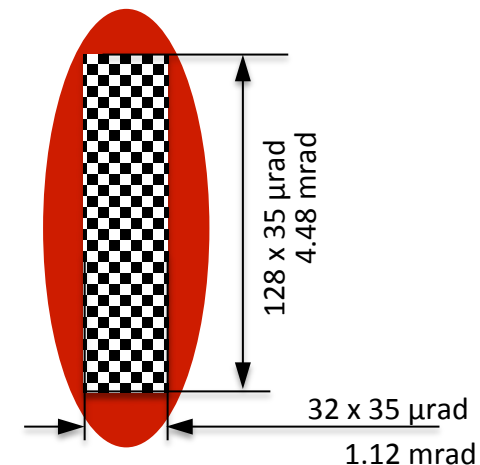
Linear LIDAR

- spatial resolution fundamentally limited by beam divergence of laser
- beam divergence typically $250 \mu\text{rad}$ ($1/e^2$)
- iFOV of receiver significantly larger
- measurement beam axis defined by emission instant of laser pulse



Geiger Mode LIDAR

- spatial resolution fundamentally limited by iFOV of a single pixel of APD array
- instantaneous field-of-view (iFOV) $35 \mu\text{rad}^1$
- beam divergence significantly larger
- measurement beam axis defined by reception instant of photons



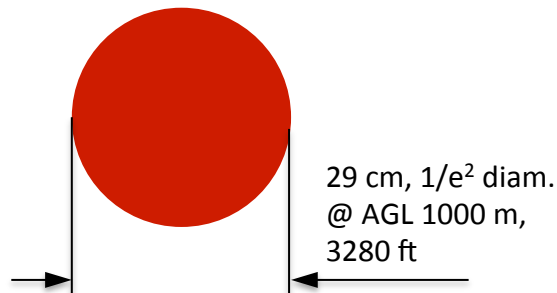
1) example taken from Rhoads, R., "Geiger-mode LiDAR mapping: High density, high volume airborne 3D imaging", p. 14, Capturing Reality 2015

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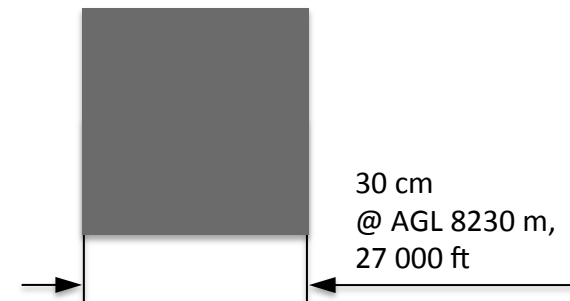
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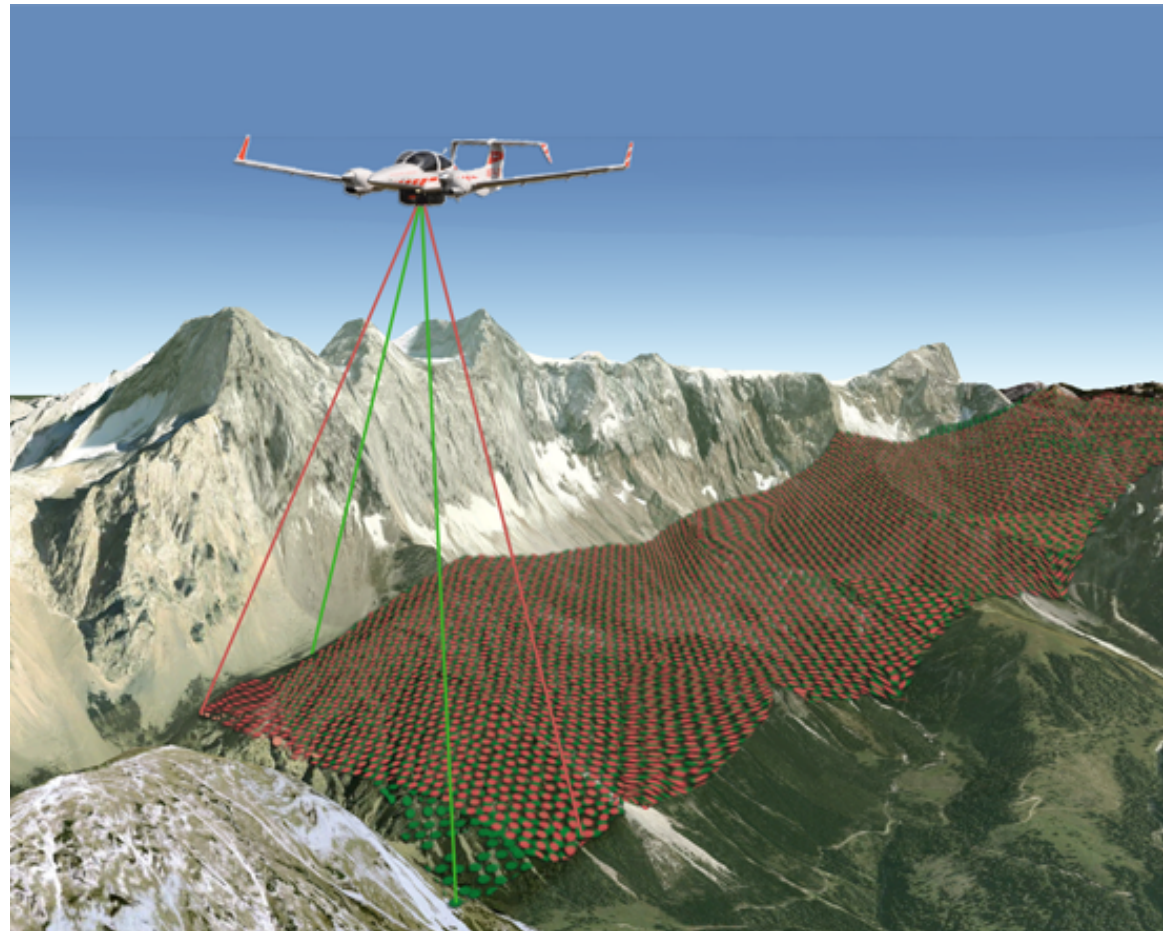
what a difference a detector makes: scan pattern



RIEGL LMS-Q1560

forward / backward looking

- tilt of scan lines maintains strict **nadir** look in the center
- forward / backward elsewhere with maximum at the **edges** of swath

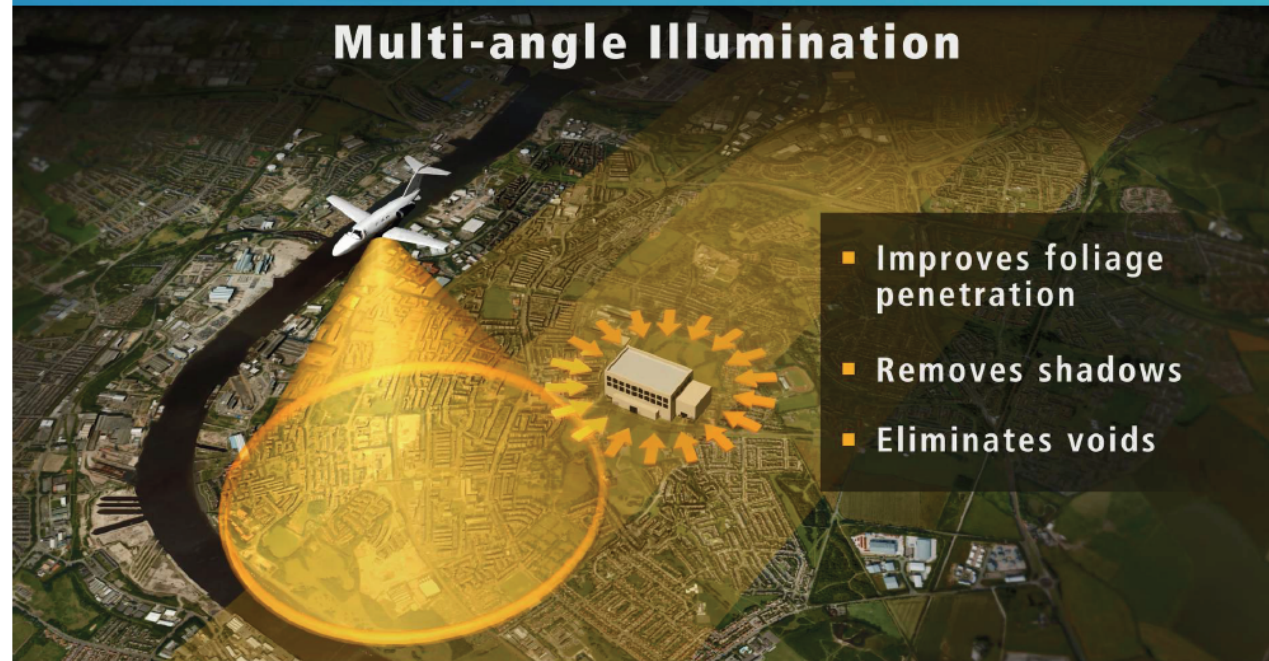


what a difference a detector makes: scan pattern



Solution 360° look and oversampling

Multi-angle Illumination



- Improves foliage penetration
- Removes shadows
- Eliminates voids

LIDAR
news

WEBINAR SERIES

NON-Export Controlled Information |

Romano, M., "A New Industry Standard: Commercial Geiger-mode LIDAR", LIDAR News webinar, March 24th, 2015

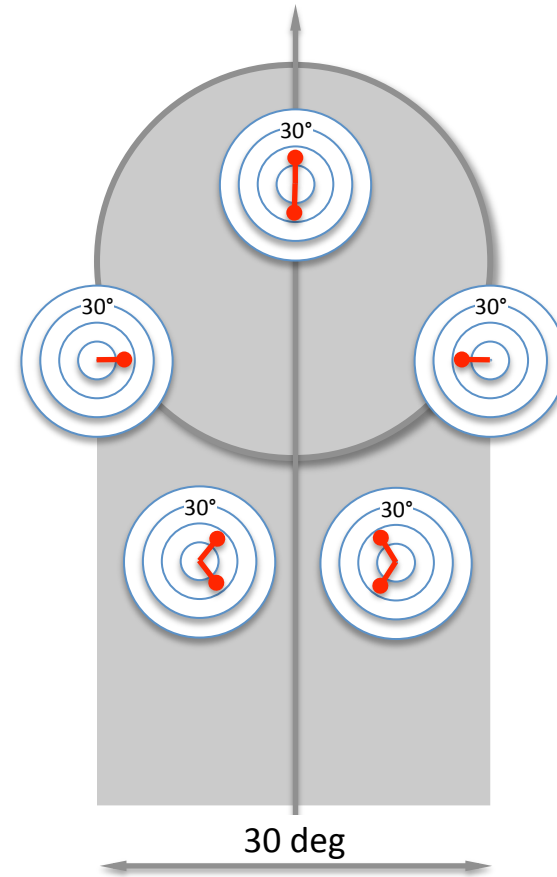
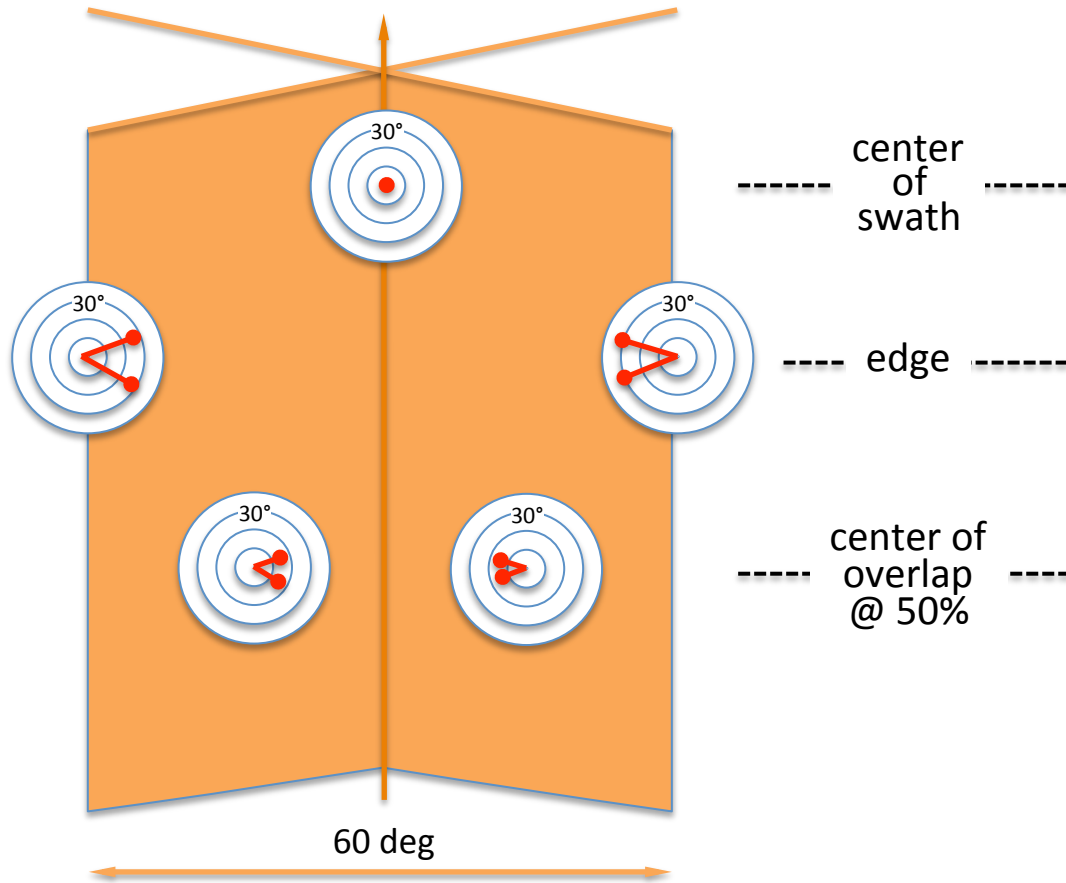


what a difference a detector makes: scan pattern



RIEGL LMS-Q1560

conical scan pattern



acquisition speed



Collection Comparison @ 8PPM



RIEGL LMS-Q1560
8 pts/m ²
95 mi ² /hr, (240 km ² /h)
2 cm
3250 ft, (990 m)
3750 ft, (1140 m)
117 kts

Superior Performance

	Linear LiDAR	Geiger LiDAR
Density (points per meter)	8 ✓	8
Instantaneous Coverage Rate (mi ² /hr)	false 50	850
RMSEz (cm)	false 9.25	9.25
Altitude (AGL ft)	3,200 ✓	27,000
Swath Width (ft)	3,300 ✓	16,000
Ground Speed (kts)	90 ✓	290

17X (Comparison of Coverage Rate: 50 vs 850)

Higher the density greater the payback

NON-Export Controlled Information | Space and Intelligence

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Rhoads, R., "Geiger-mode LiDAR mapping: High density, high volume airborne 3D imaging", Capturing Reality 2015, Nov 24th 2015, Salzburg, Austria



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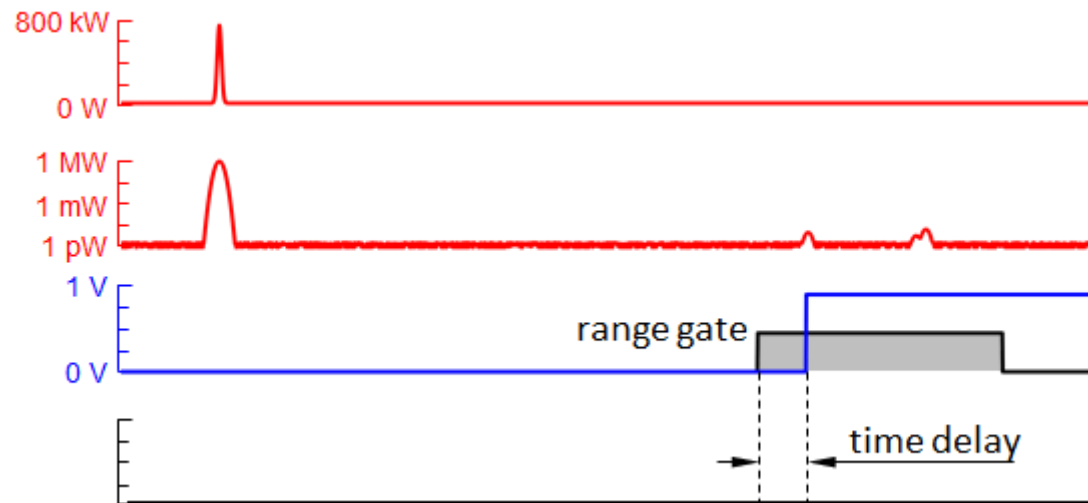
comparative summary



what a difference a detector makes: Geiger-Mode waveforms?



Linear LIDAR's waveforms are key to best multi-target resolution, radiometric calibration and advanced filtering



How to acquire waveforms with a **binary** detector?

SIGNAL ESTIMATION

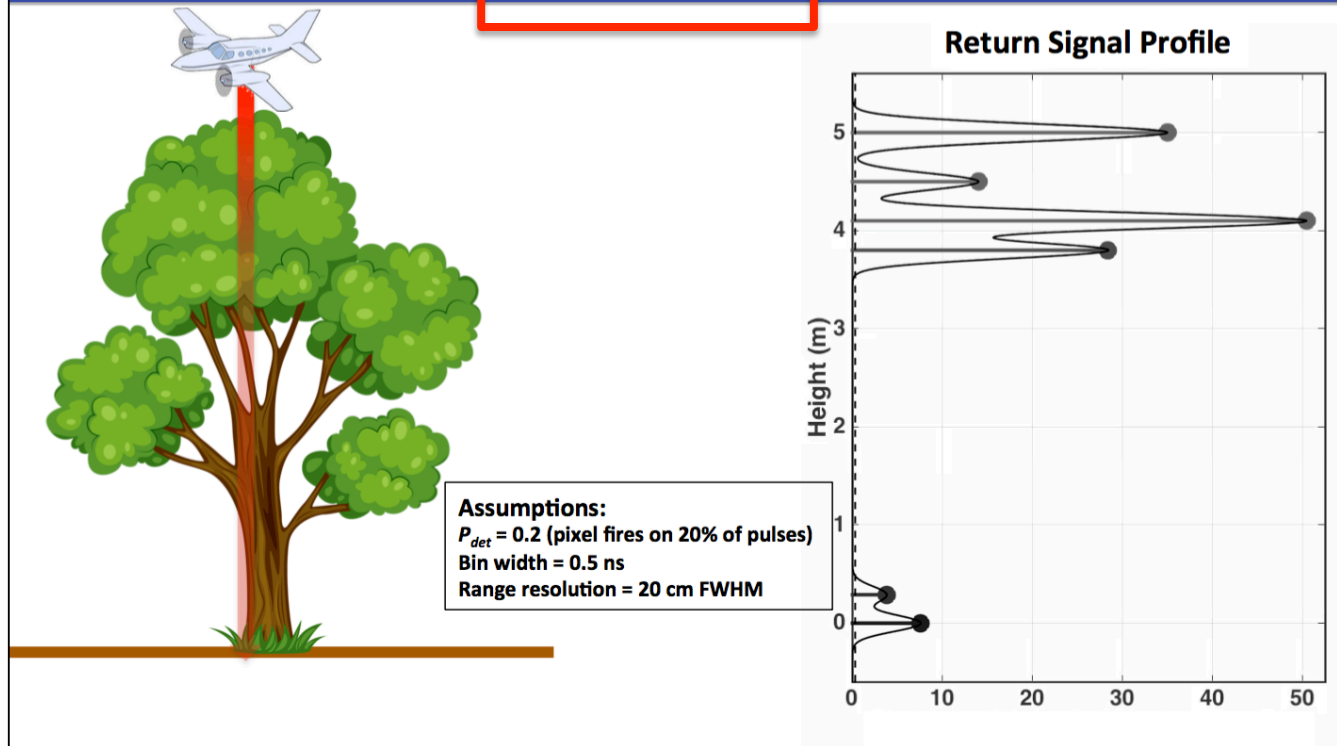
- temporal position / range
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what a difference a detector makes: Geiger-Mode waveforms?



Detecting Photons **One by One**

3D
EO



Fried, D.G., "Fast, Cost-Efficient Airborne 3D Imaging With Geiger-mode Detector Arrays", MIT RLE & 3DEO, Inc. ILMF 2015, February 23, 2015

what a difference a detector makes: Geiger-Mode waveforms?



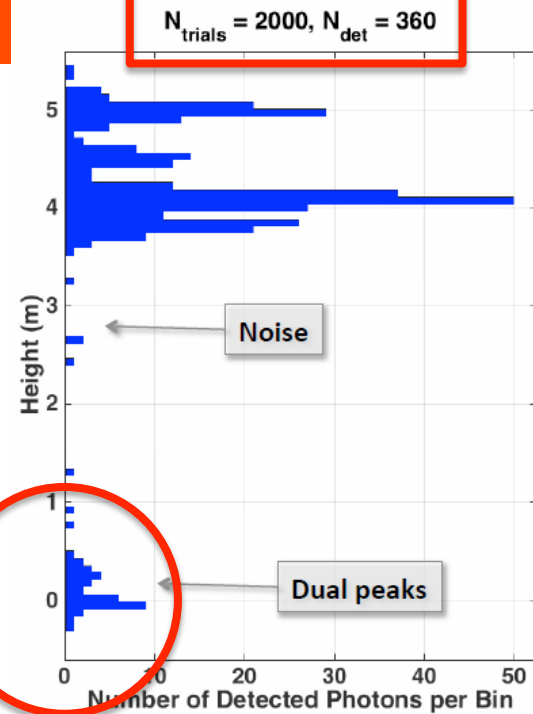
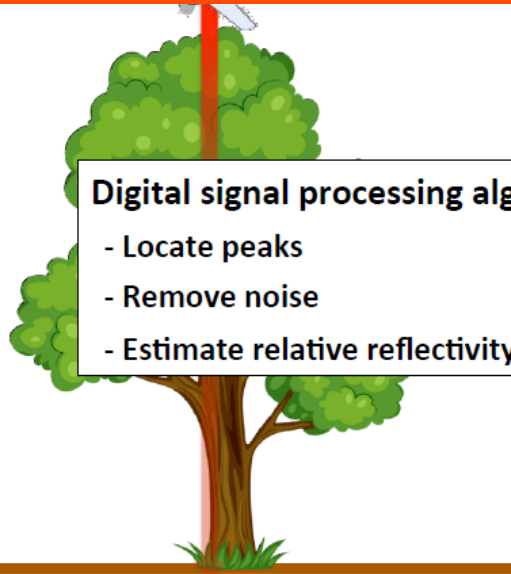
Processing

3D
EO

N_{trials} = number of "looks"

Digital signal processing algorithms...

- Locate peaks
- Remove noise
- Estimate relative reflectivity



Fried, D.G., "Fast, Cost-Efficient Airborne 3D Imaging With Geiger-mode Detector Arrays", MIT RLE & 3DEO, Inc. ILMF 2015, February 23, 2015

Geiger-mode multi-look data acquisition



GmAPD Multi-Look/Multi-Pulse Collection



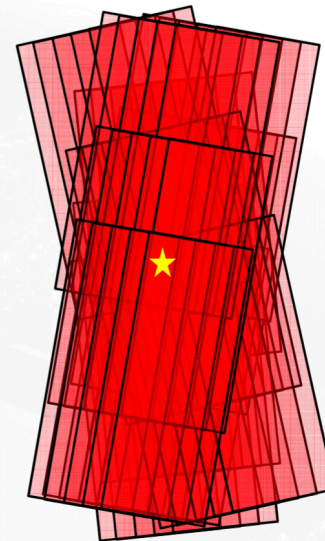
Multi-look approach

- 4096 measurements per laser flash
- 50,000 flashes per second
- Approx= 205 million elevation measurement per second

• Every spot illuminated 100's of times

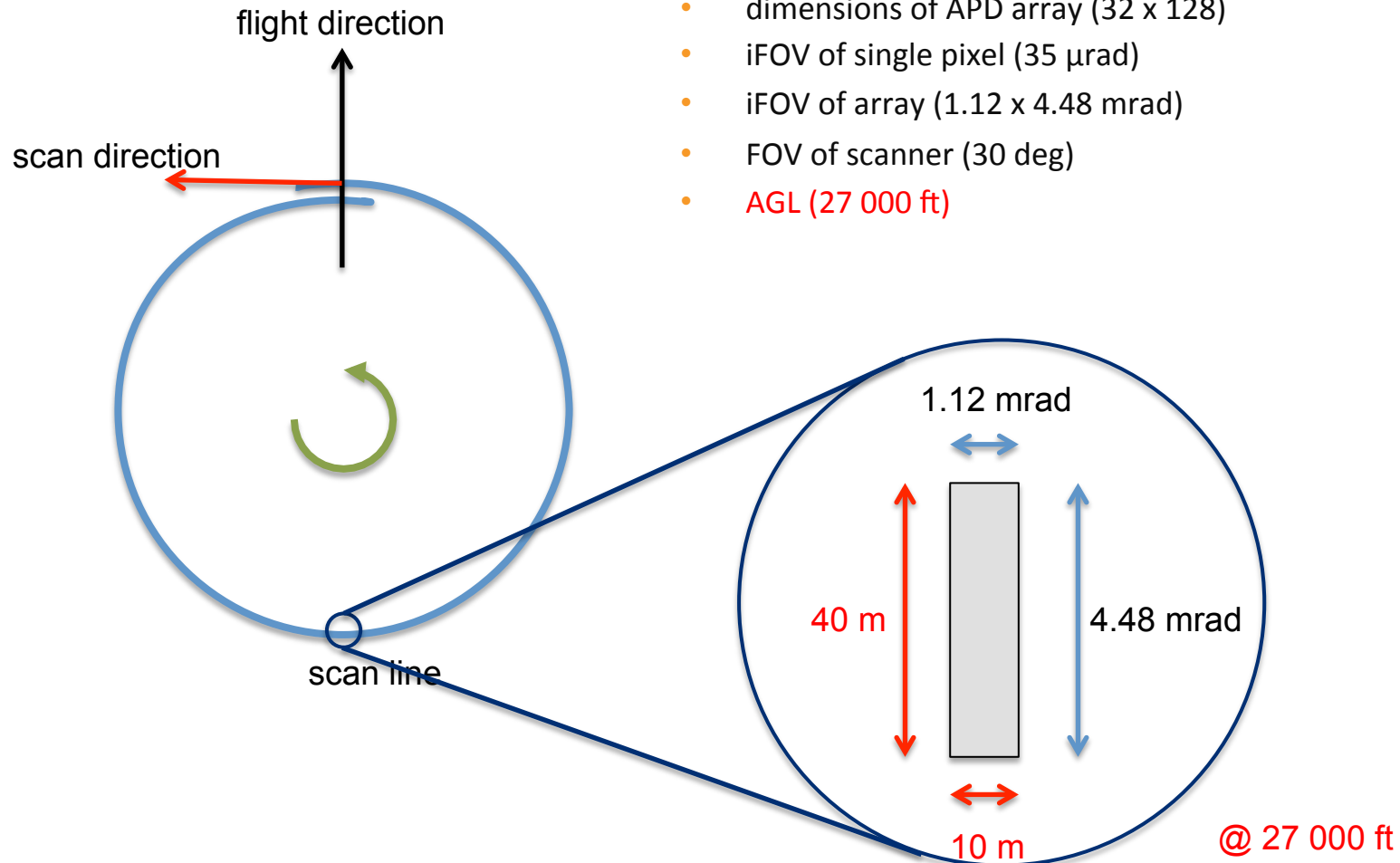
• The dozens of photon detections are processed to determine the real objects

- Programmable Forward/Sidelap



Rhoads, R., "Geiger-mode LiDAR mapping: High density, high volume airborne 3D imaging", at Capturing Reality 2015, Nov 24th 2015, Salzburg, Austria

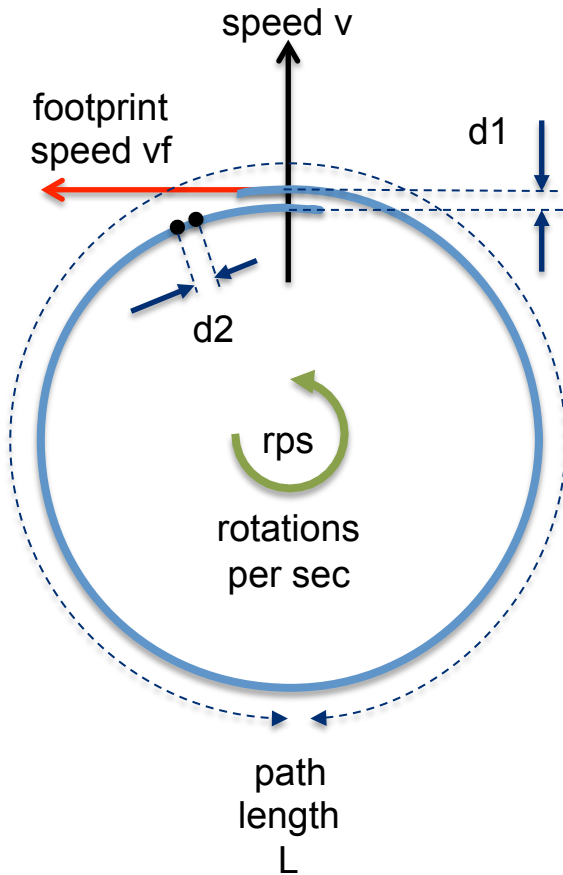
Geiger-mode multi-look data acquisition



Geiger-mode multi-look data acquisition



calculating the number of looks



speed 290 kts rps 7.5 sec⁻¹
 AGL 27 000 ft PRR 50 kHz

$$d1 = \frac{v}{rps} \quad 20 \text{ m}$$

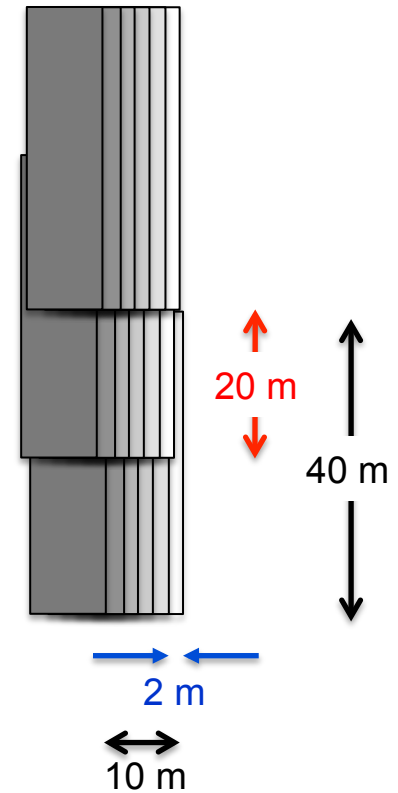
$$L = 2\pi \cdot AGL \cdot \tan\left(\frac{\alpha}{2}\right) \quad 13.8 \text{ km}$$

$$vf = L \cdot rps \quad 104 \text{ km/s}$$

$$d2 = \frac{vf}{PRR} \quad 2 \text{ m}$$

number of looks (forward scan, center)

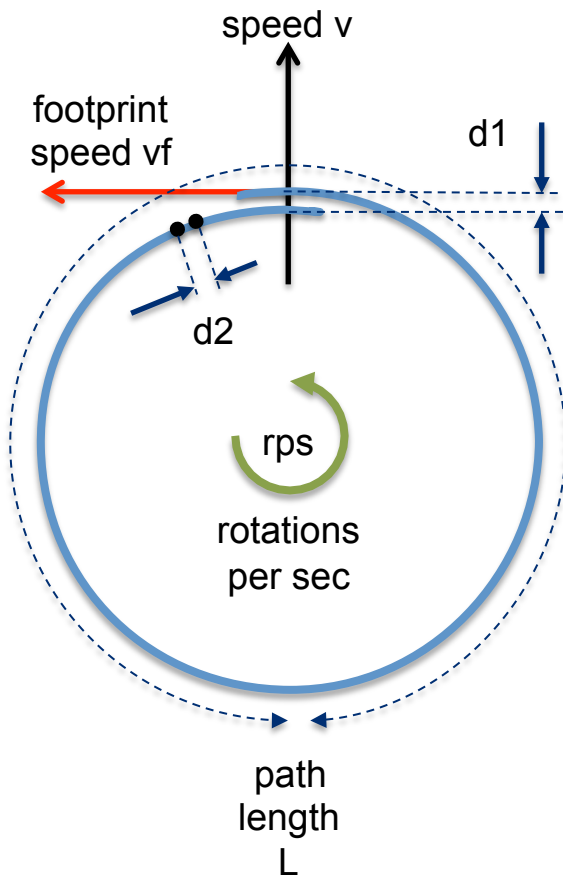
$$10\text{m}/2\text{m} \times 40\text{m}/20\text{m} = 5 \times 2 = 10$$



Geiger-mode multi-look data acquisition



calculating the number of looks



speed 290 kts
AGL 27 000 ft

rps 18.6 sec⁻¹
PRR 50 kHz

$$d1 = \frac{v}{rps} \quad 8 \text{ m}$$

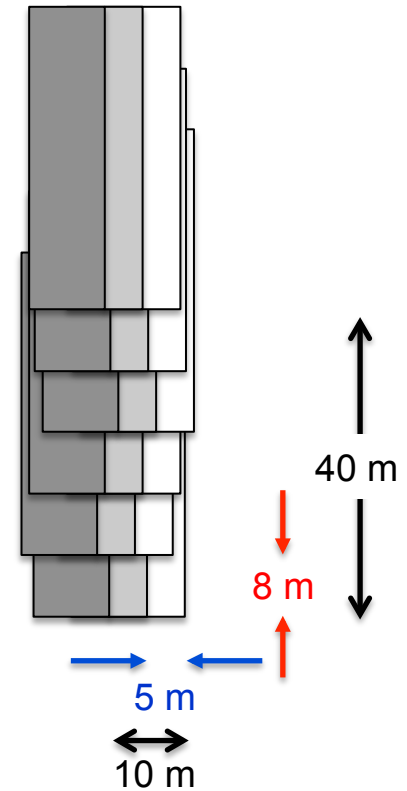
$$L = 2\pi \cdot AGL \cdot \tan\left(\frac{\alpha}{2}\right) \quad 13.8 \text{ km}$$

$$v_f = L \cdot rps \quad 258 \text{ km/s}$$

$$d2 = \frac{v_f}{PRR} \quad 5 \text{ m}$$

number of looks (forward scan, center)

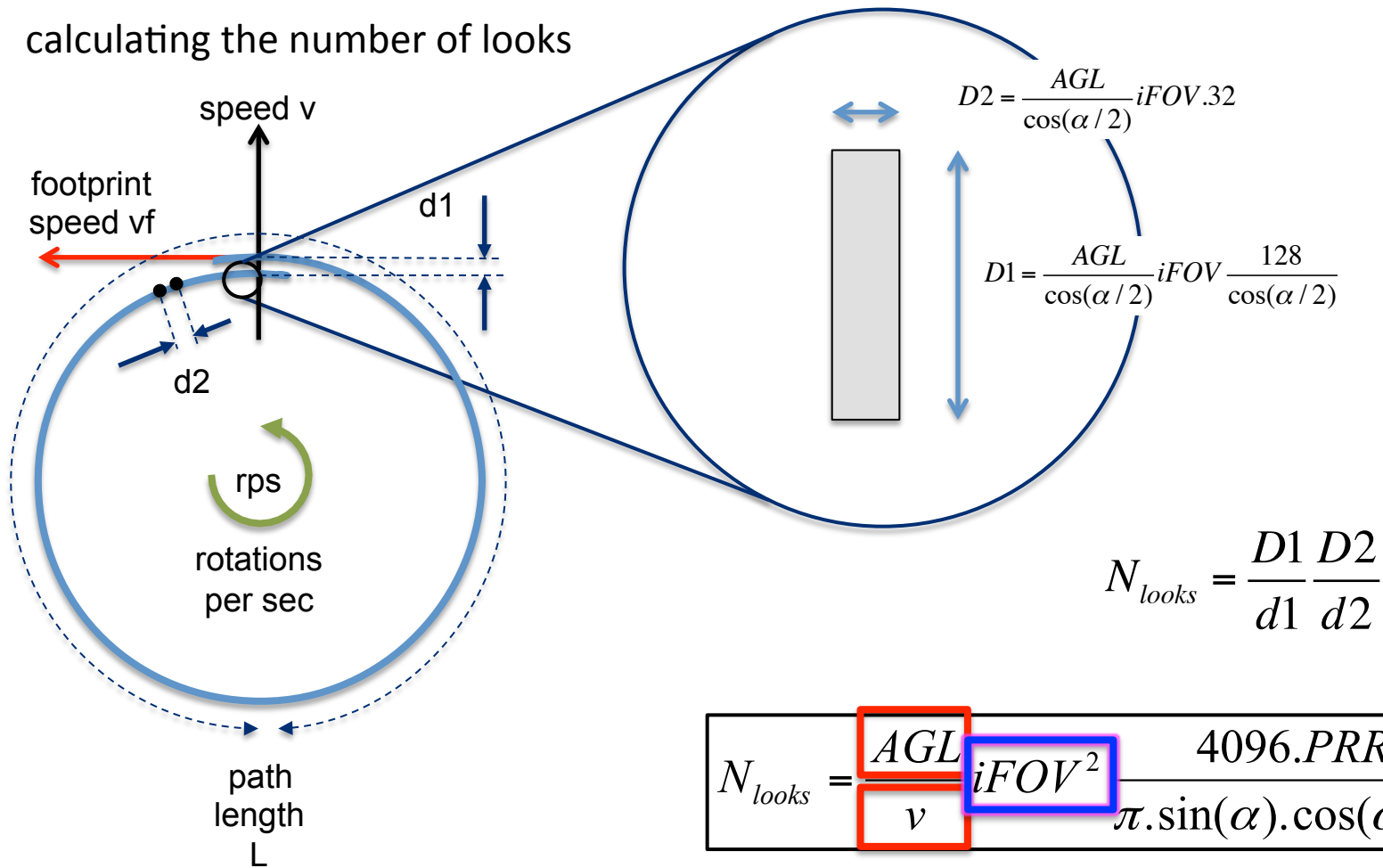
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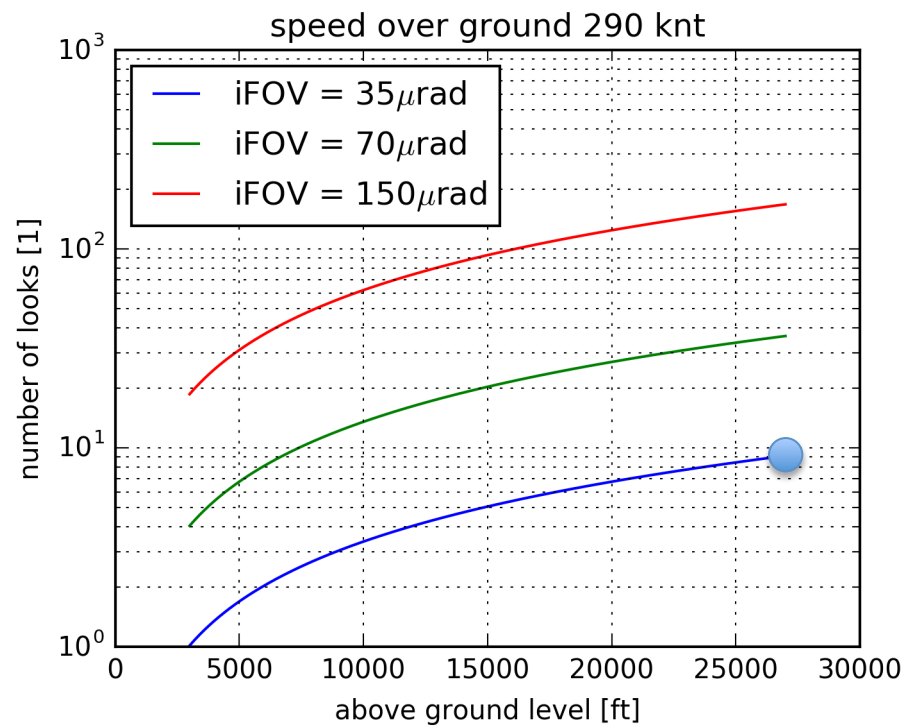
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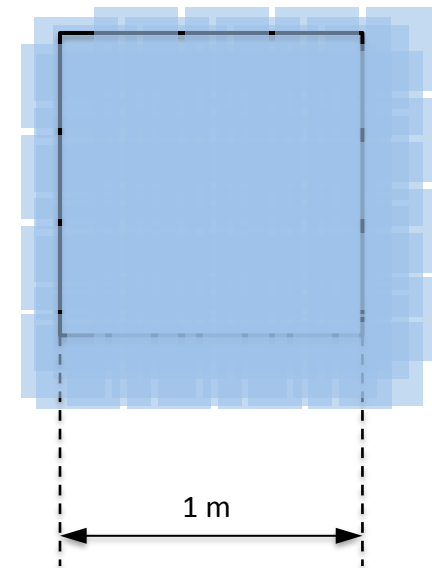
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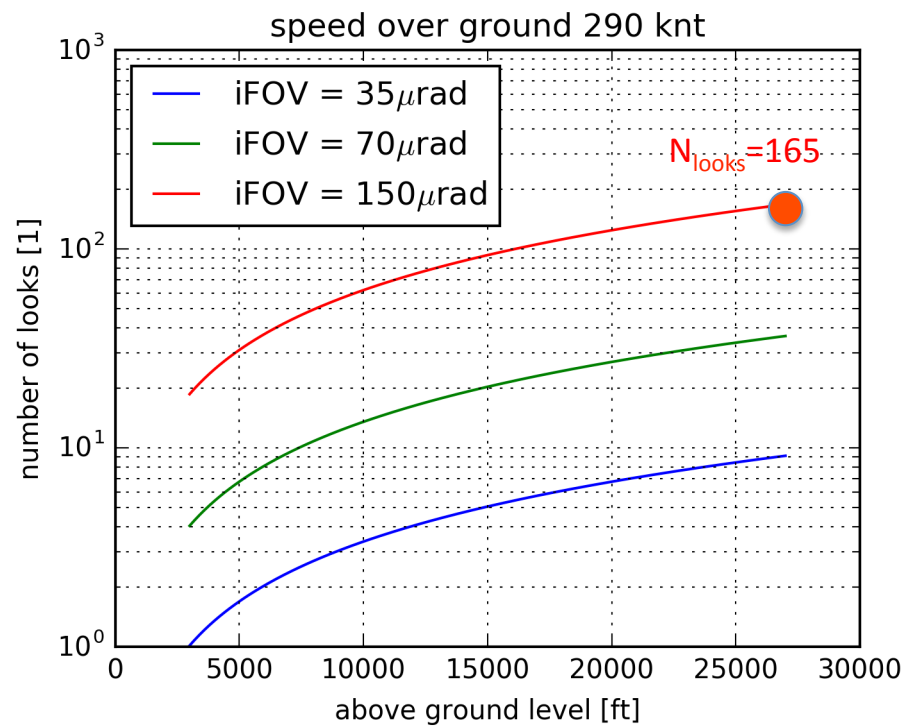
number of looks as a function of AGL and speed



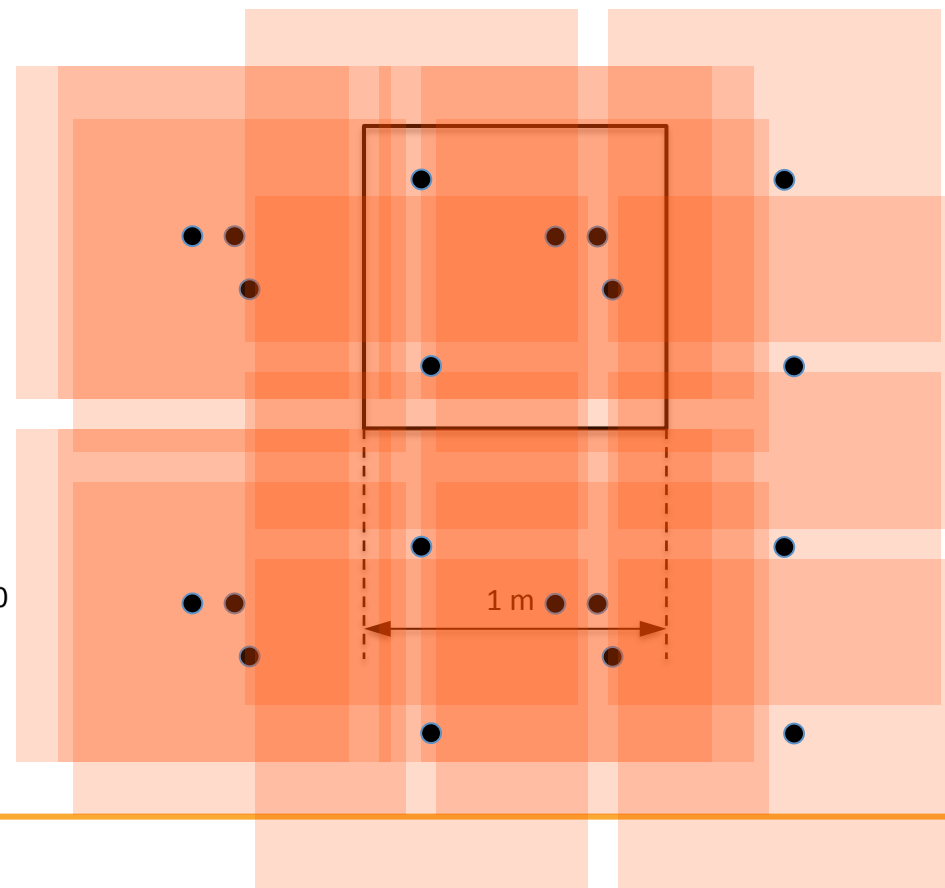
iFOV = 35 μrad
AGL = 27 000 ft
speed = 290 knt



Geiger-mode multi-look data acquisition



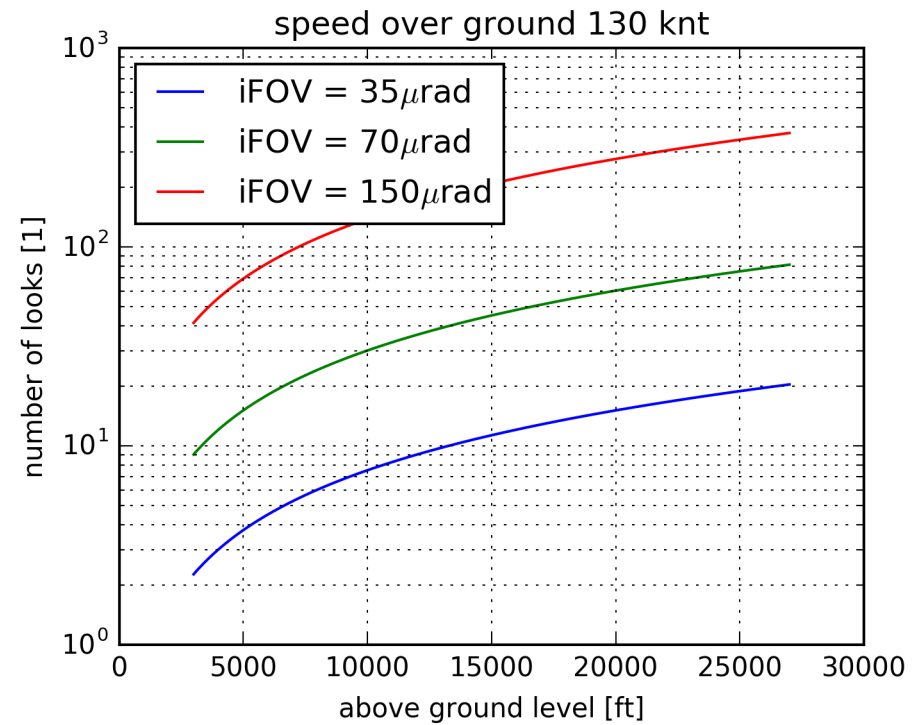
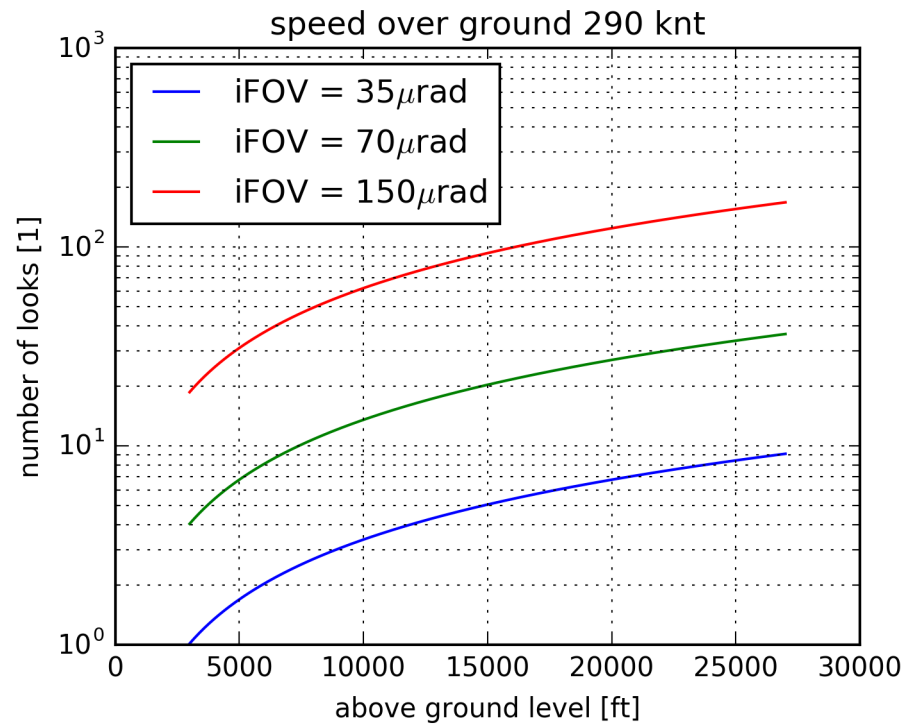
iFOV = 150 μrad
AGL = 27 000 ft
speed = 290 knt



Geiger-mode multi-look data acquisition



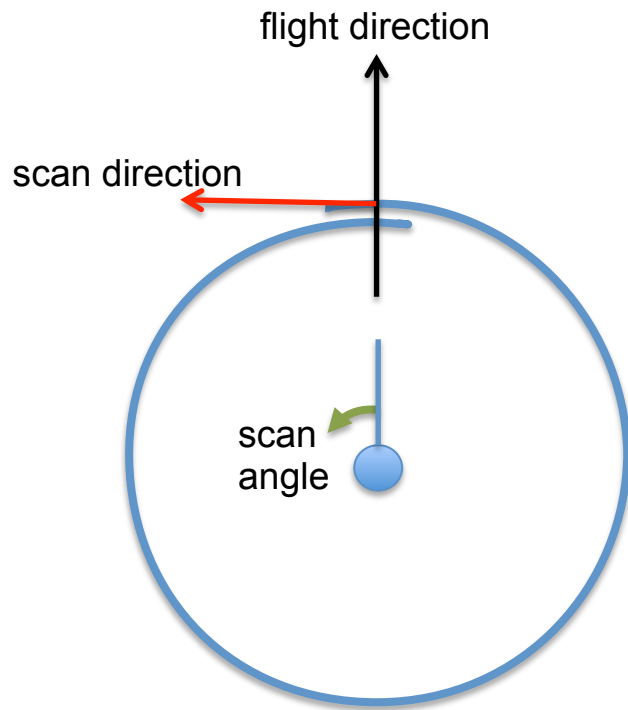
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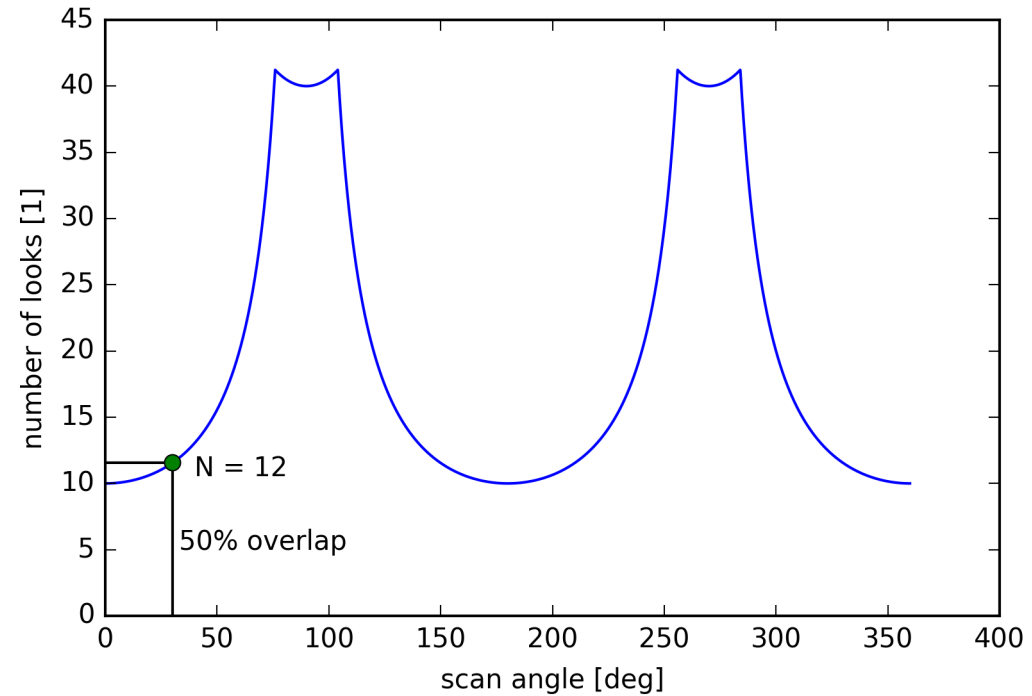
Geiger-mode multi-look data acquisition



number of looks along scan line



AGL 27 000 ft, 290 knt, iFOV 35 μ rad, PRR 50 kHz



Geiger-mode multi-look data acquisition



GmAPD Multi-Look/Multi-Pulse Collection



Multi-look approach

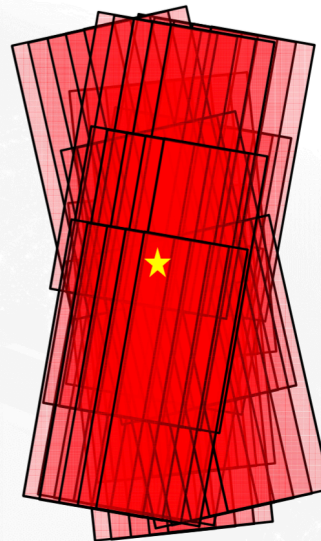
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comparative summary



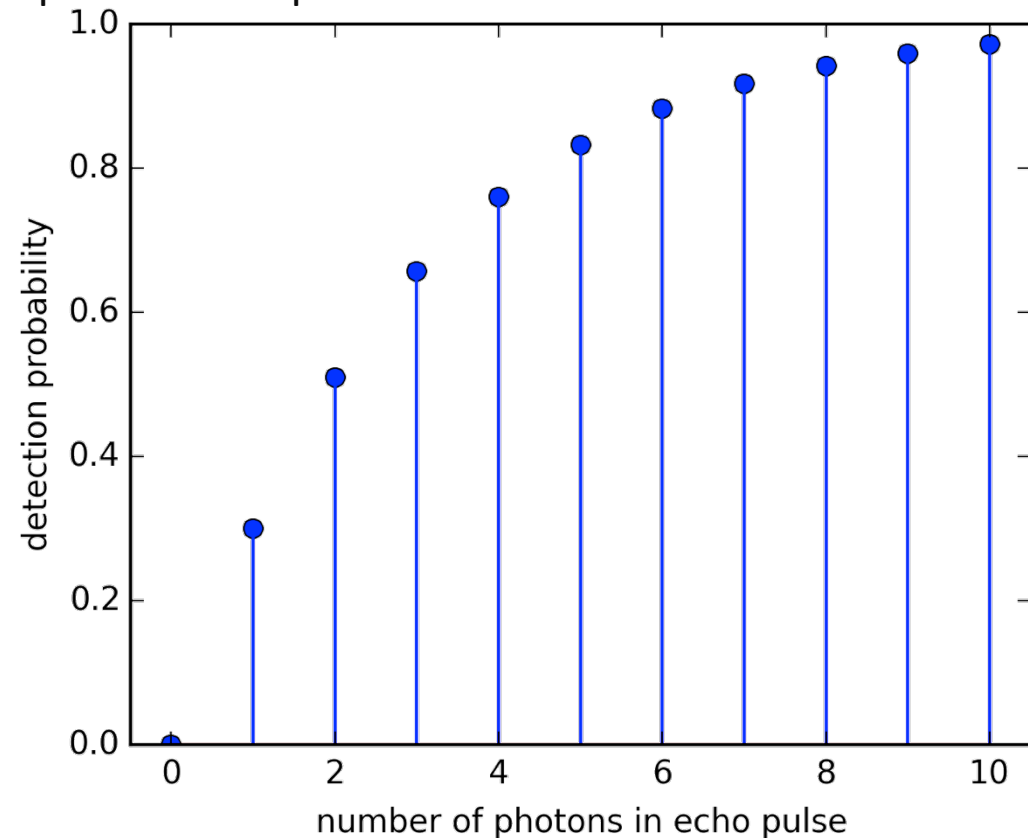
detection probability for deterministic number of photons



- photon detection efficiency (PDE = 0.3)
- detection probability for exactly N photons in a pulse?

probability that any of N photons triggers is complementary to that every photon does not trigger

$$PD(N) = 1 - (1 - PDE)^N$$



average number of photons received



- target area is illuminated by large number of photons
 - Geiger-Mode LIDAR per pixel ¹⁾ : $\sim 160 \times 10^9 = 160 \text{ G photons} = 0.16 \text{ T photons}$
 - Linear LIDAR per laser footprint ²⁾: $\sim 120 \times 10^{12} = 120 \text{ T photons}$
- interaction with target
 - reflectance varies typically between 10% and 100%
 - fill factor of cross section varies typically between 0% and 100%
- photons collected by receiver for 100% reflectance and 100% fill factor
 - GeigerMode LIDAR ¹⁾ : ~ 10 photons
 - Linear LIDAR ²⁾: $\sim 44\text{k photons}$

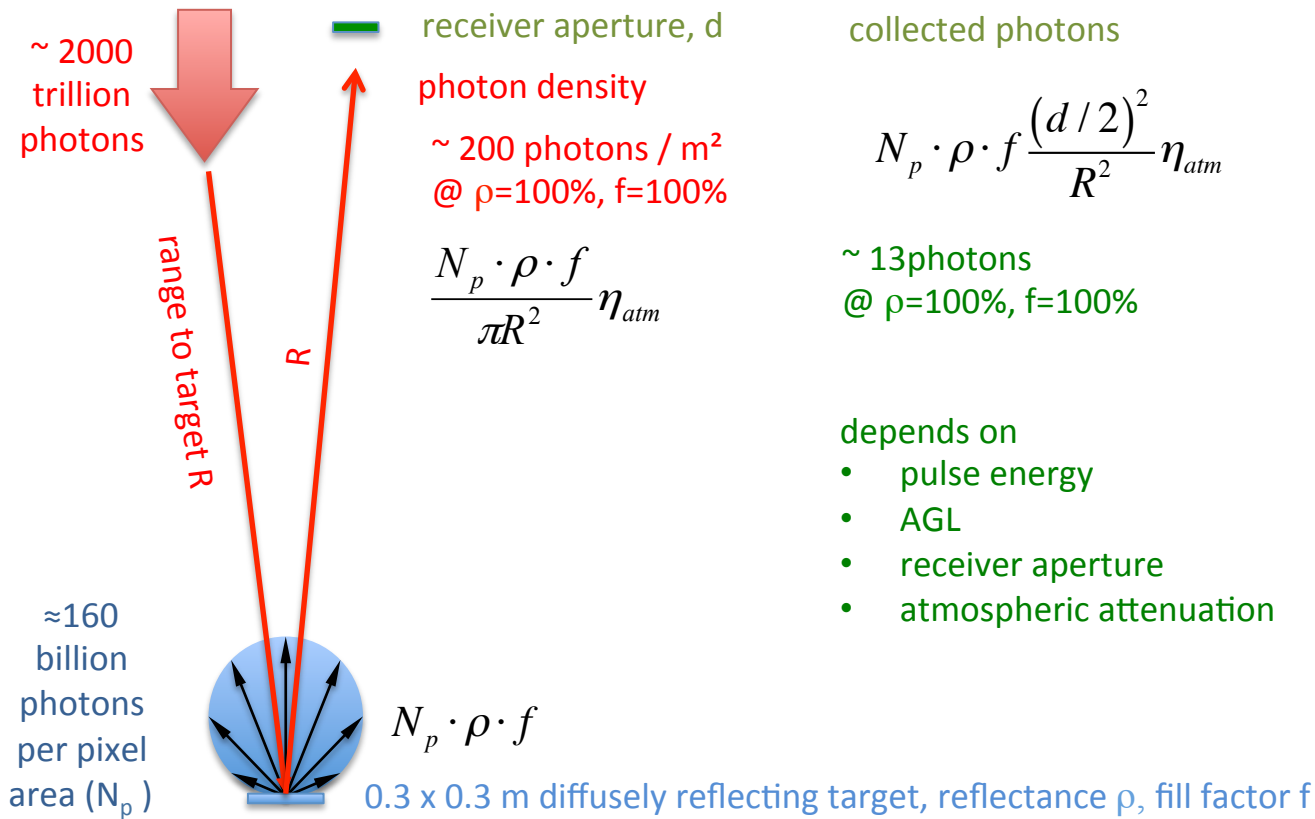
1) Rhoads, R., "Geiger-mode LiDAR mapping: High density, high volume airborne 3D imaging", p. 14, Capturing Reality 2015, Nov 24th 2015, Salzburg, Austria. Information extracted: 20 W, 50 kHz, 4096 pixels, data from page 21, especially AGL = 27,000 ft, visibility 23 km. Information assumed : receiver aperture 25 cm

2) specification of *RIEGL* LMS-Q1560, with PRR = 400 kHz, with AGL = 1000 m, visibility 23 km

average number of received photons



- where have all the photons gone?



Poisson distribution



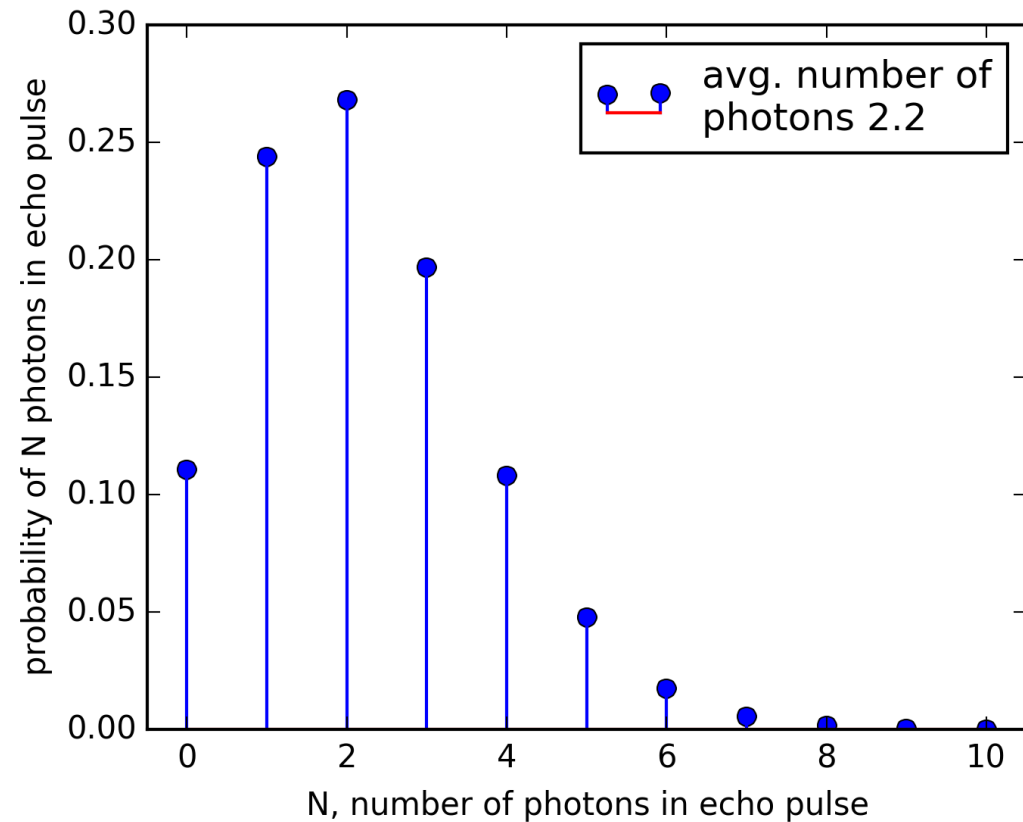
average number of photons ✓

how many photons do we actually receive in every look?

- not the same number every look
- stochastic process
- probability given by Poisson distribution

Poisson distribution is a discrete probability distribution that expresses the probability of a given number of events occurring in a fixed interval of time and/or space if these events occur with a **known average rate** and independently of the last event.

https://en.wikipedia.org/wiki/Poisson_distribution

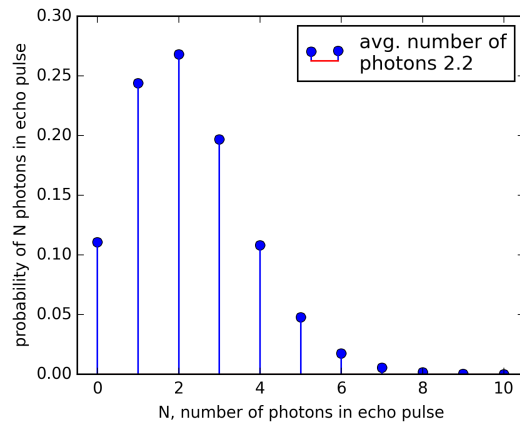


detection probability for an average number of photons

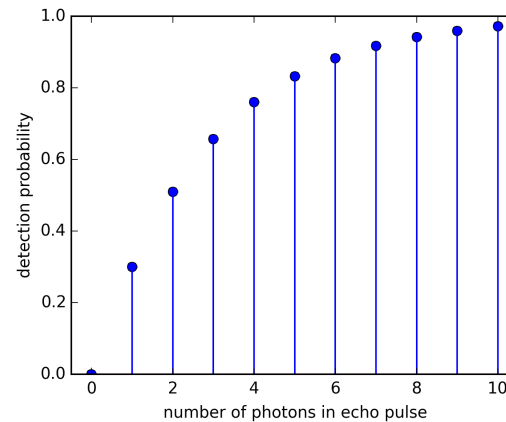


given average number of photons, e.g., 2.2

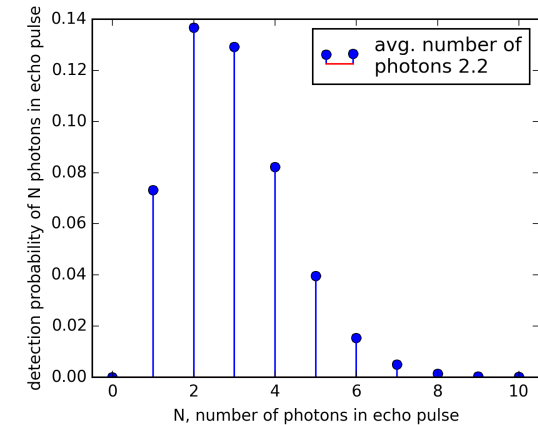
1. Poisson distribution gives the probability for receiving a specific number of photons
2. For each such specific number the detection probability is well known
3. multiply probability mass functions
4. sum up all probabilities



X

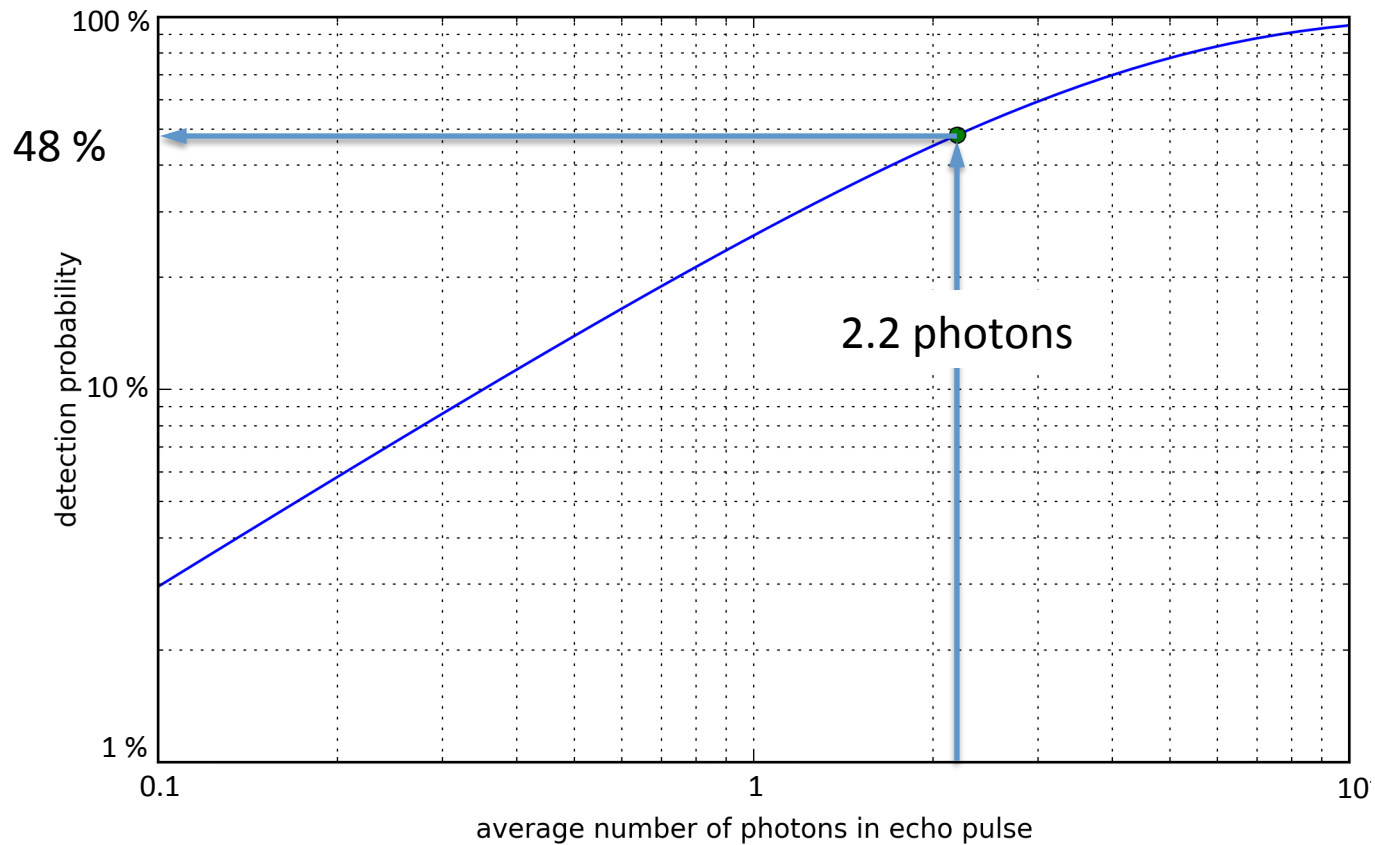


=



expected detection probability for an average number of 2.2 photons per received pulse: 48%

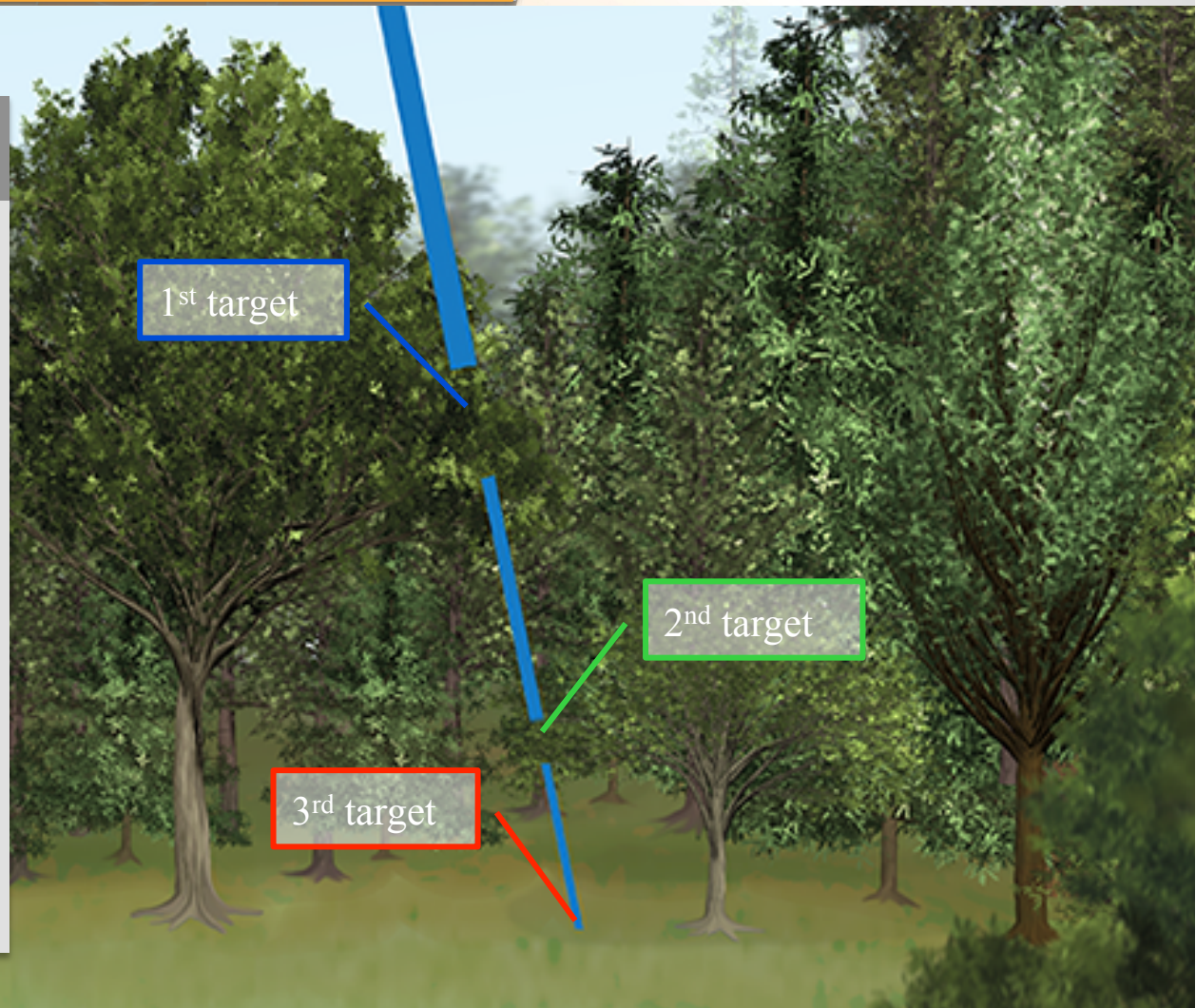
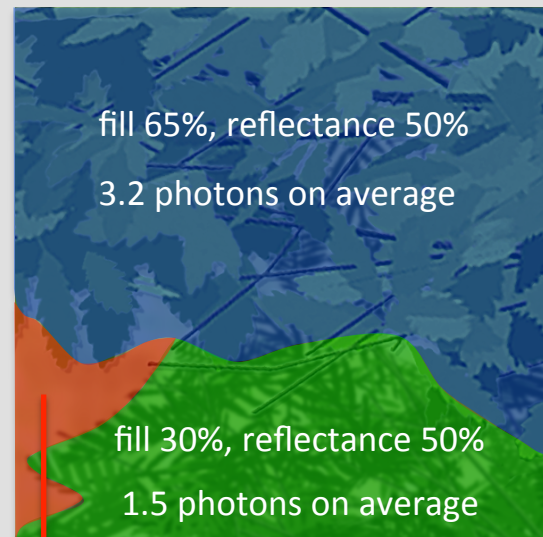
detection probability for an average number of photons



Geiger-Mode LIDAR: example for penetrating canopy



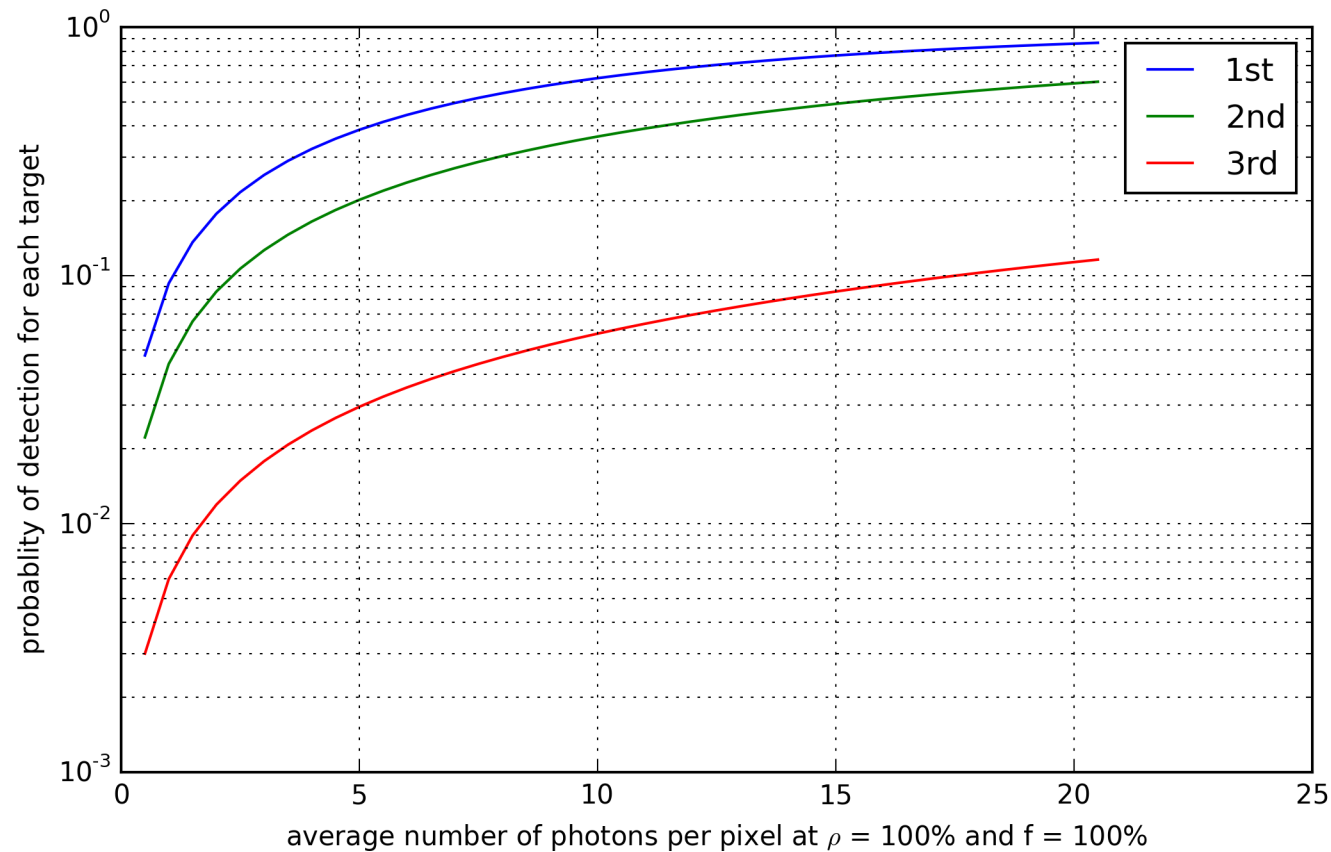
cross section through iFOV
of a single pixel



Single Photon LIDAR: example for penetrating canopy

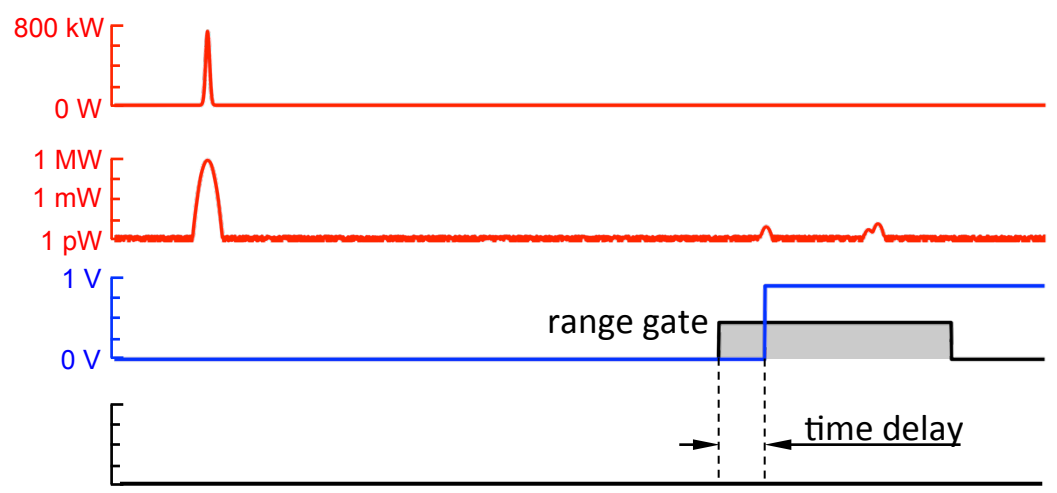
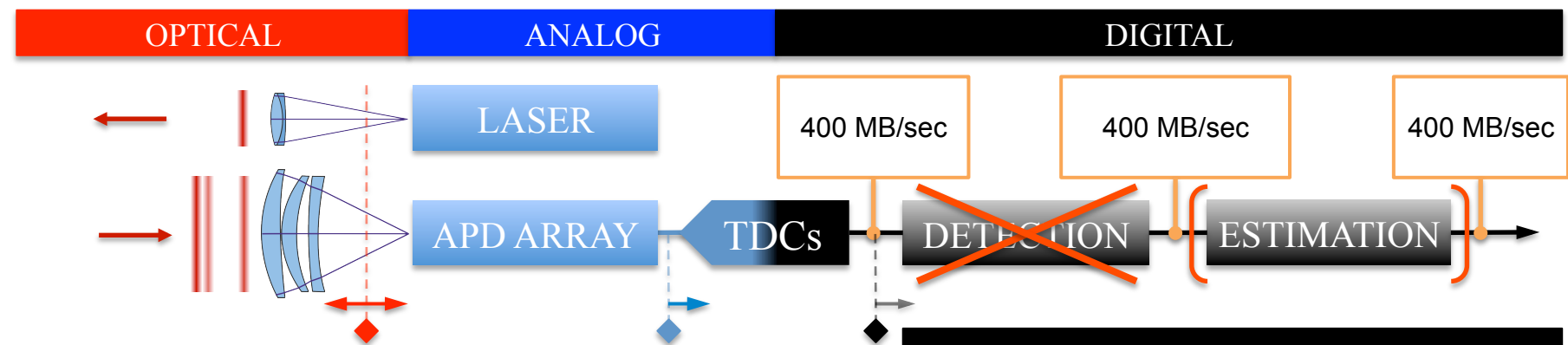


for a detector with NO DEAD TIME (Single Photon LIDAR)



fill x refl in dB
0.65 x 0.5 .. -5 dB
0.30 x 0.5 .. -8 dB
0.05 x 0.4 .. -17 dB

Geiger mode processing



SIGNAL DETECTION

- inside Geiger mode APD array
- changed by varying laser power
- changed by varying photon detection efficiency (PDE)

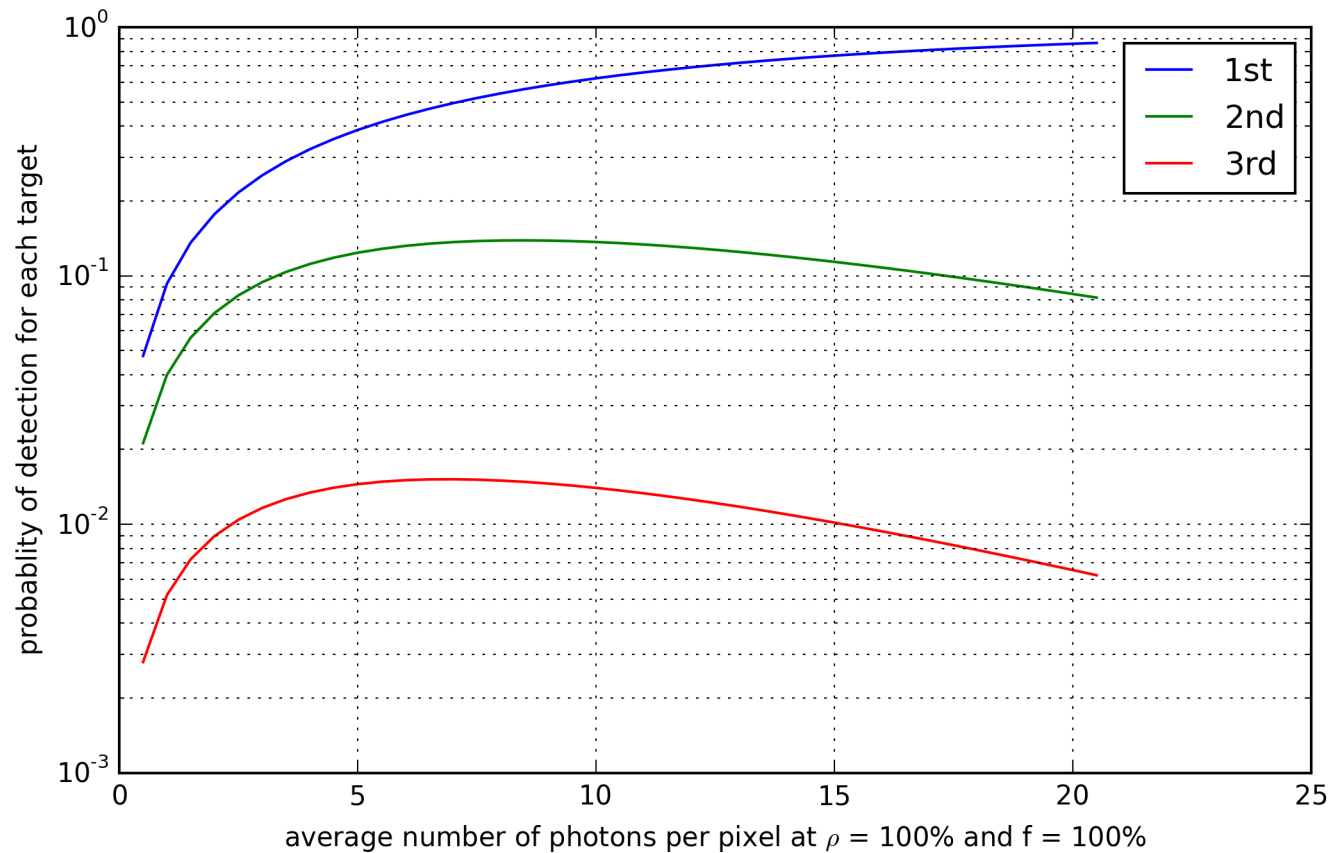
SIGNAL ESTIMATION

- temporal position / range
- ~~signal strength / amplitude / reflectance~~
- ~~pulse width / pulse shape deviation~~
- ~~backscatter coefficient of turbid media~~

Geiger-Mode LIDAR: example for penetrating canopy



for a Geiger-mode detector (triggers only once per look)



fill x refl in dB

0.65 x 0.5 .. -5 dB

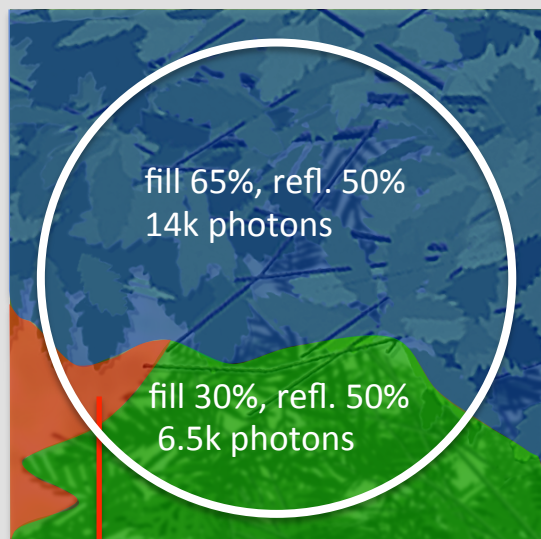
0.30 x 0.5 .. -8 dB

0.05 x 0.4 .. -17 dB

example for penetrating canopy



cross section through laser footprint
of RIEGL LMS-Q1560



1st target



2nd target

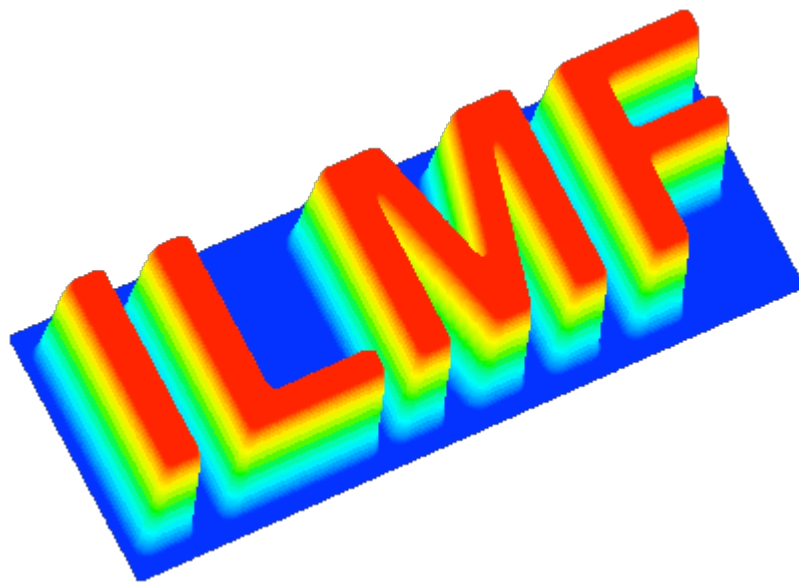
3rd target



hiding an object beneath canopy





-  high elevation
-  low elevation



dense canopy
with 5% transparency
to ground

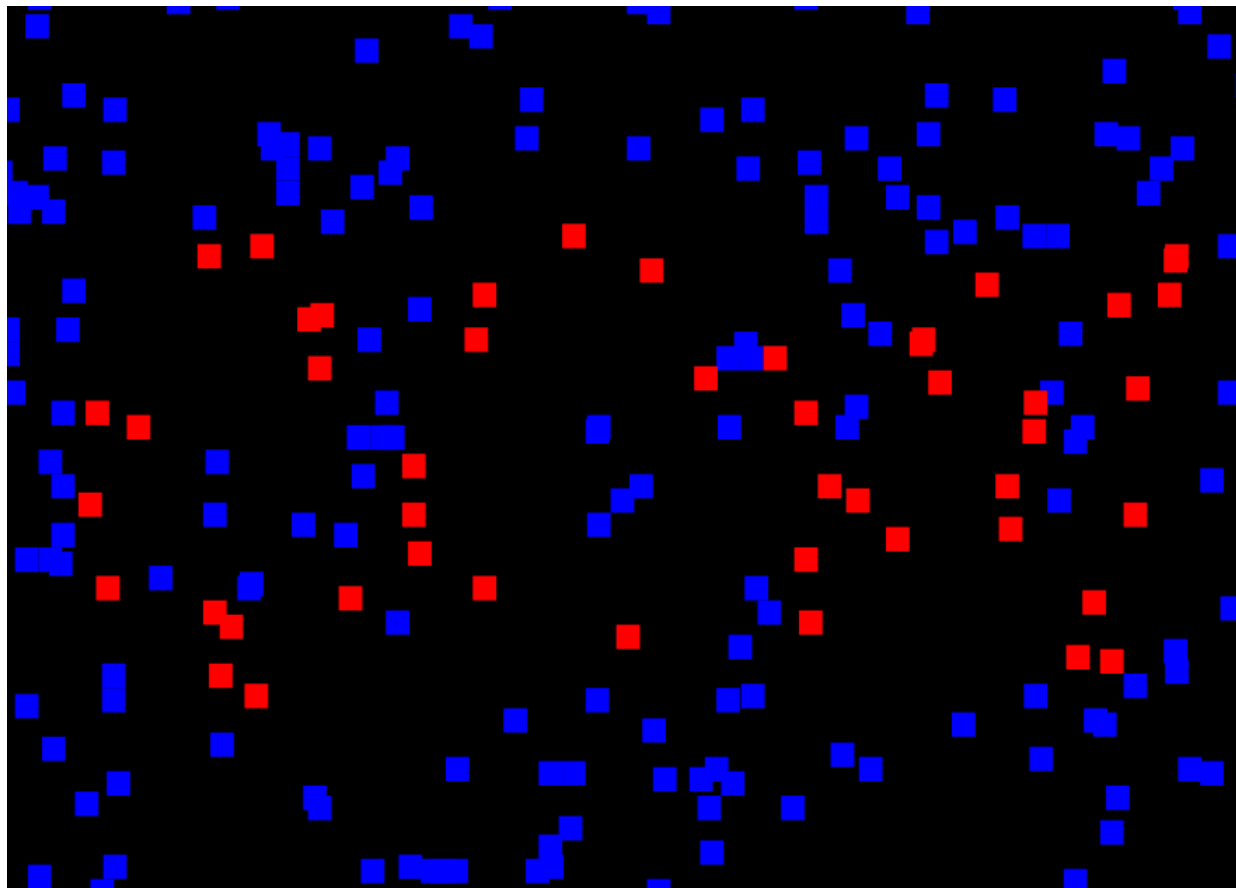
hiding an object beneath canopy



-  high elevation
-  low elevation

dense canopy
with 5% transparency
to ground

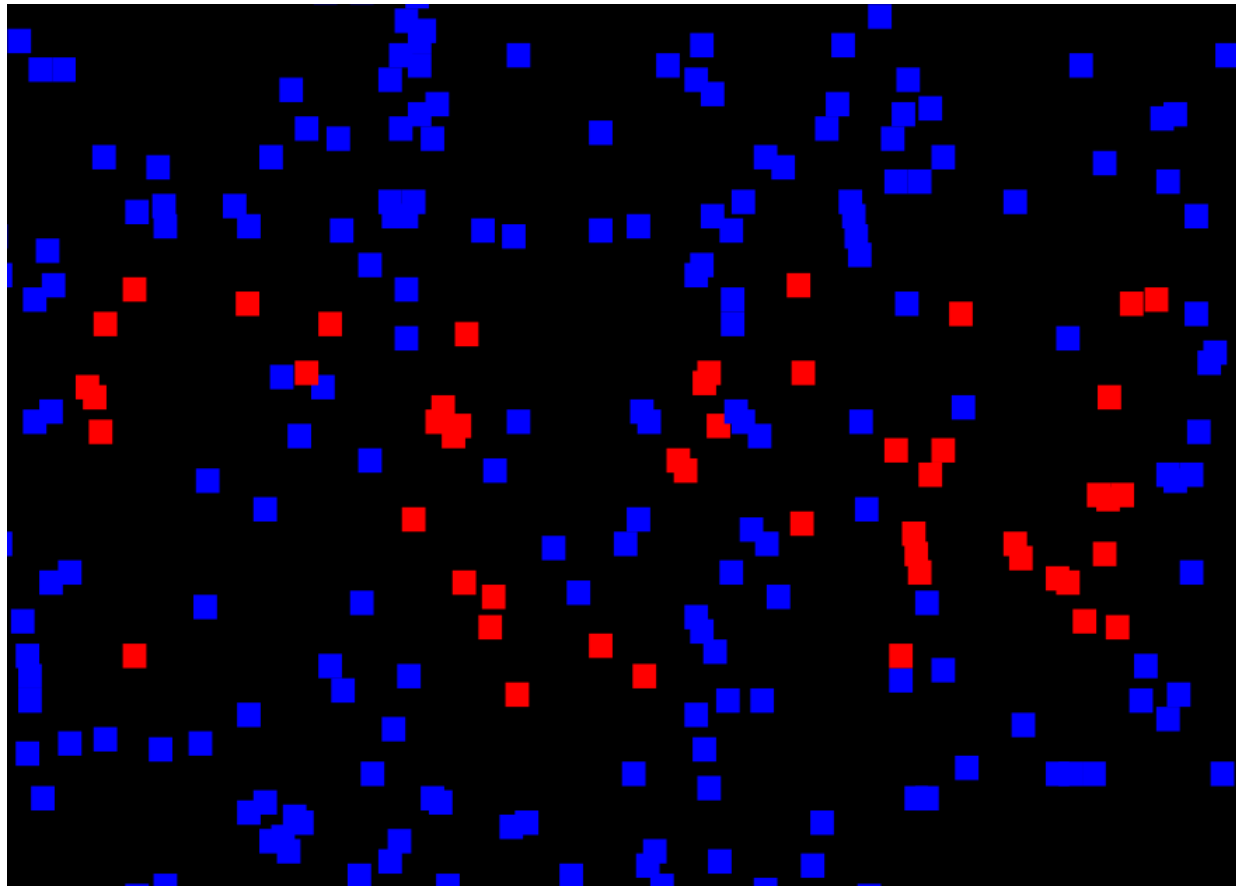
object below canopy - GmLIDAR





- pixel with high elevation
- pixel with low elevation

forward look –
center of swath

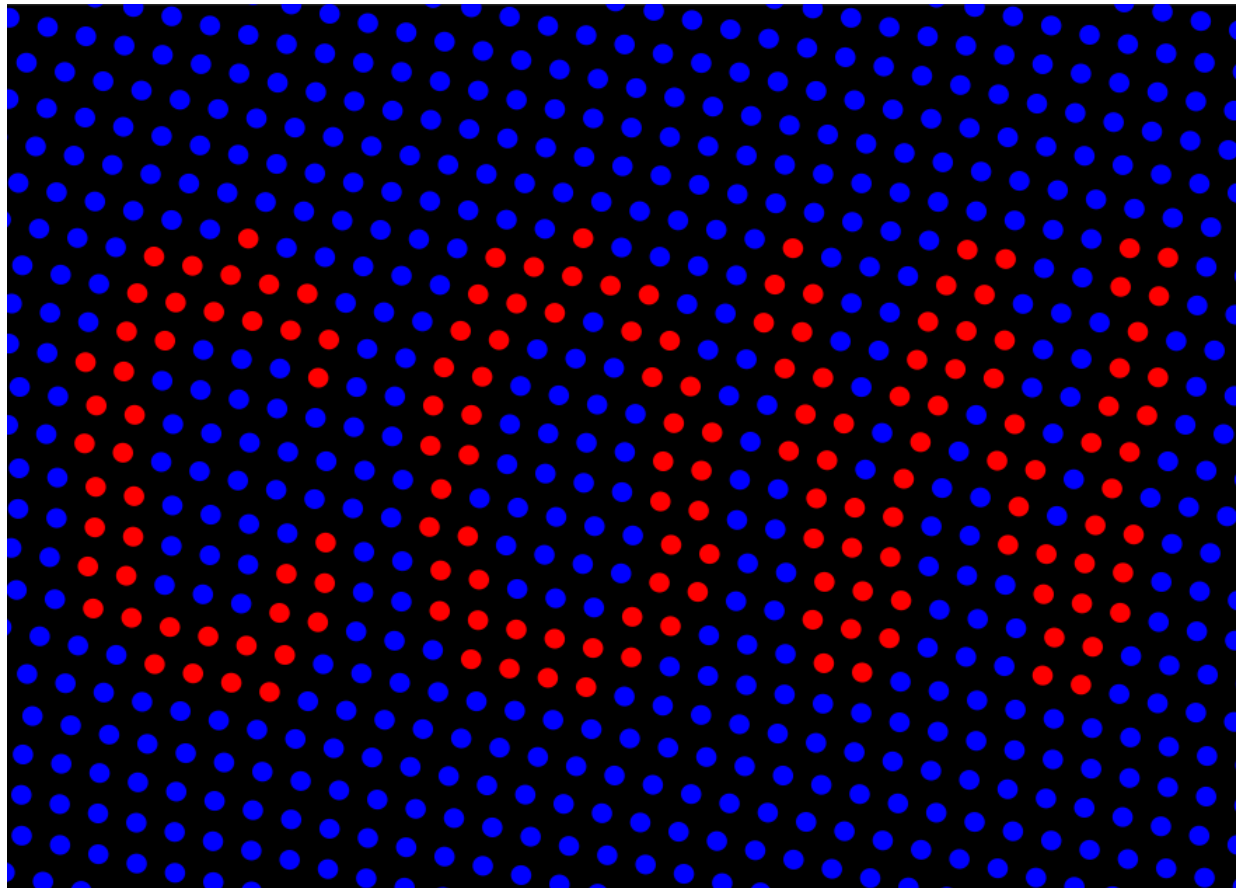
object below canopy - GmLIDAR





-  pixel with high elevation
-  pixel with low elevation

backward look –
center of swath

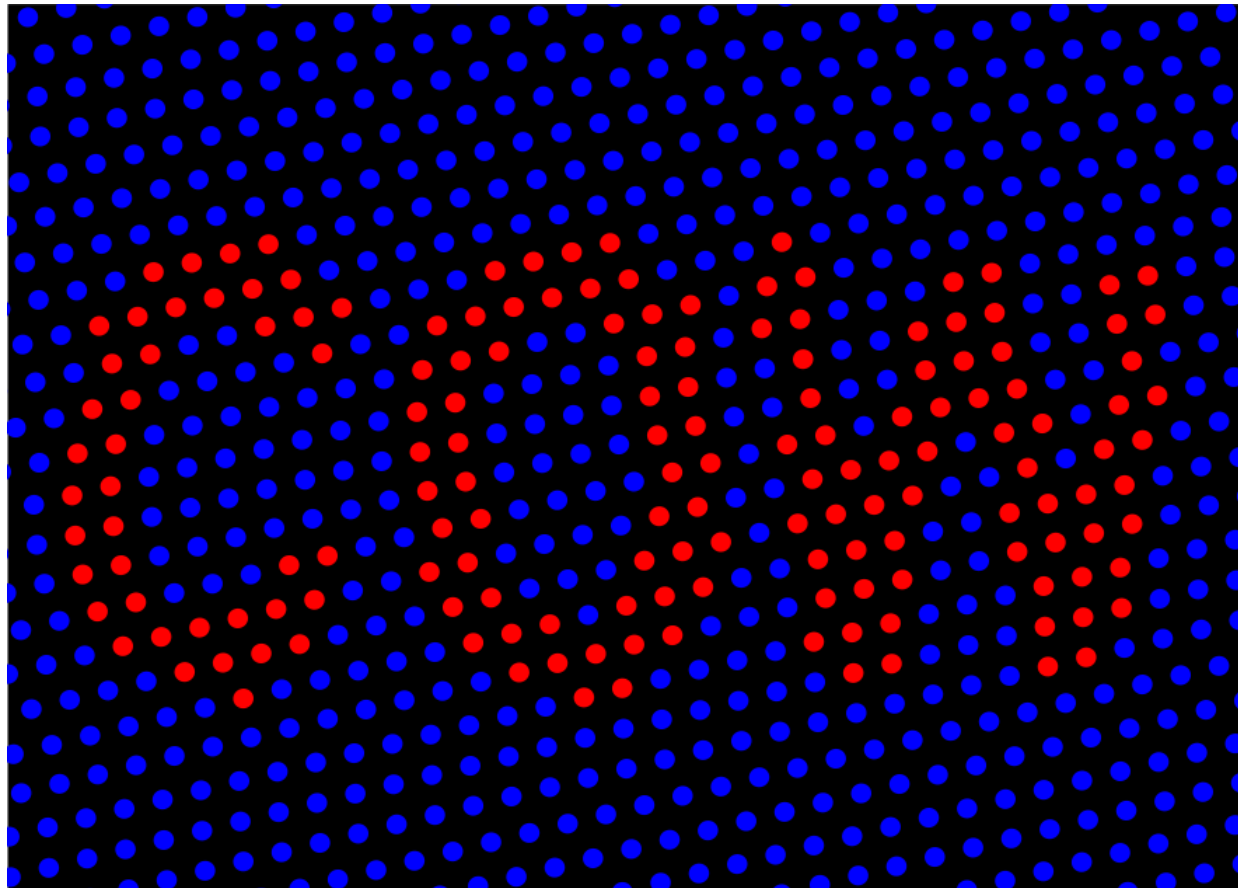
object below canopy –
RIEGL LMS-Q1560



-  pixel with high elevation
-  pixel with low elevation

channel 1–
center of swath

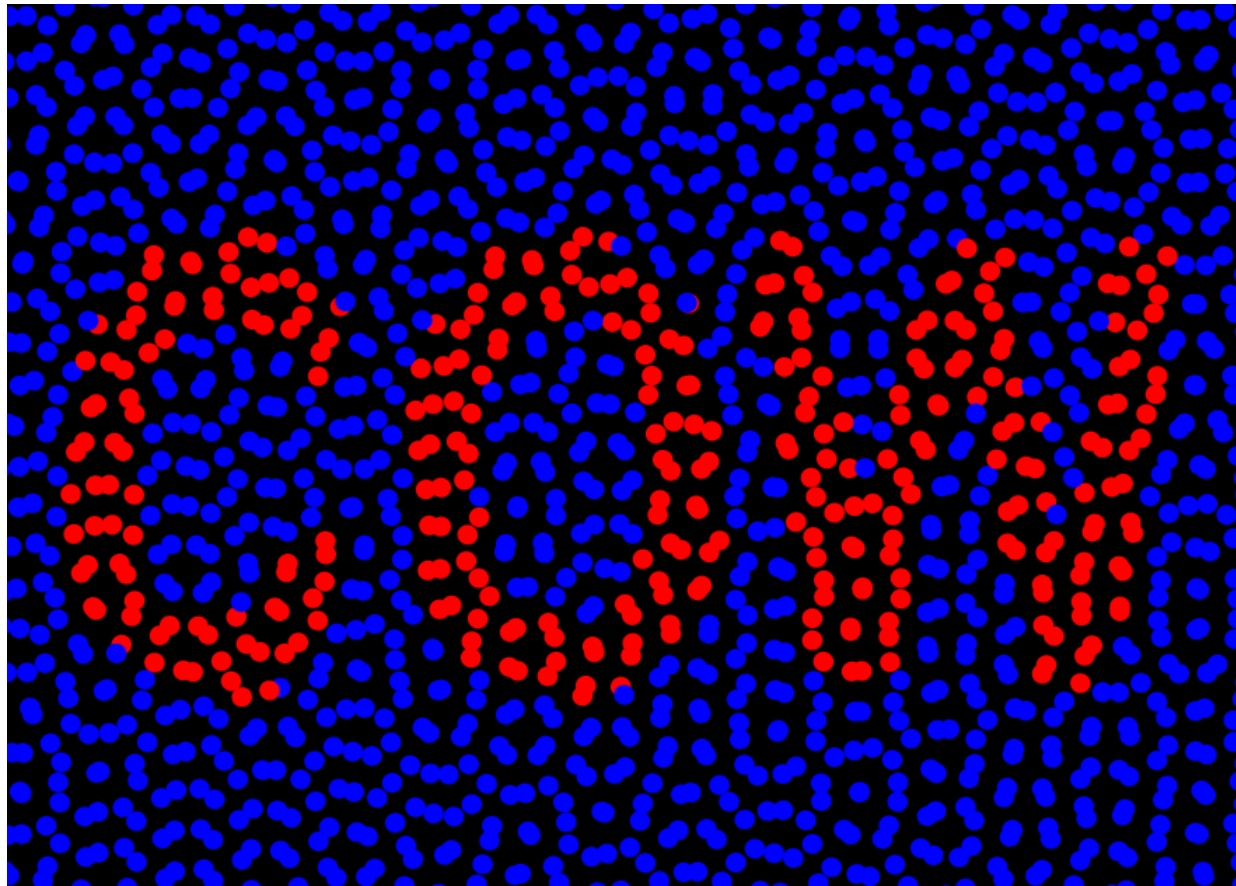
object below canopy –
RIEGL LMS-Q1560



- pixel with high elevation
- pixel with low elevation

channel 2–
center of swath

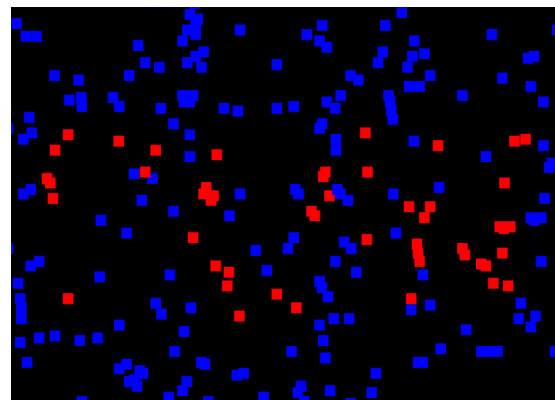
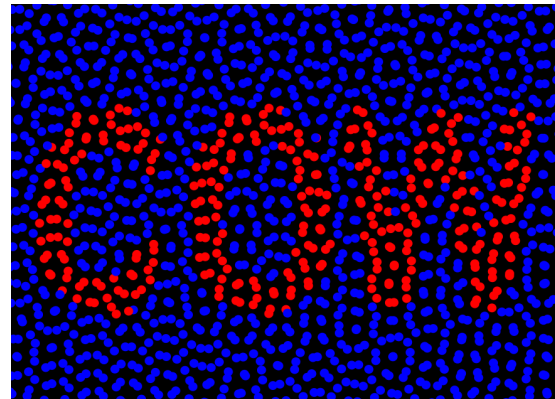
object below canopy –
RIEGL LMS-Q1560



- pixel with high elevation
- pixel with low elevation

both channels –
center of swath

object hidden beneath canopy



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Linear LIDAR data properties

Geiger-mode LIDAR basics

spatial resolution & scan pattern & acquisition speed

waveform information & multi-look acquisition

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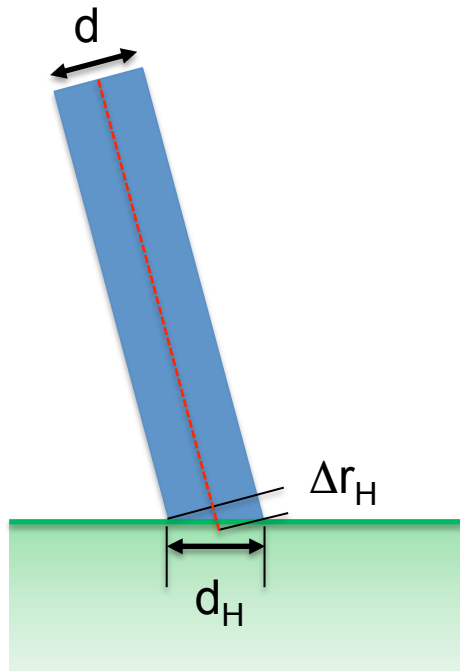
comparative summary



range noise – Geiger Mode LIDAR



horizontal flat target

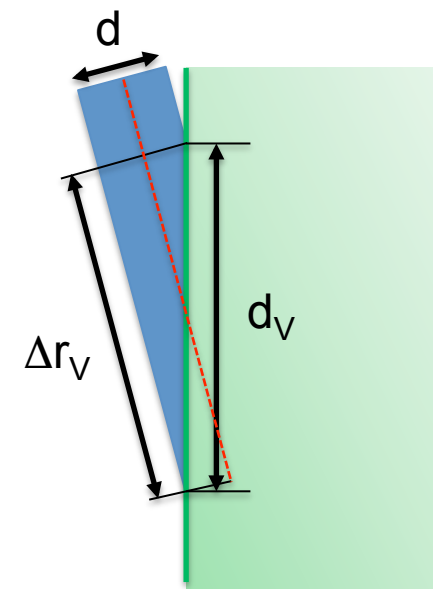


AGL = 27000 ft
R = 8520 m
iFOV = 35 μ rad
d = 30 cm

$d_H = 31$ cm
 $\Delta r_H = 8$ cm

$d_V = 116$ cm
 $\Delta r_V = 112$ cm

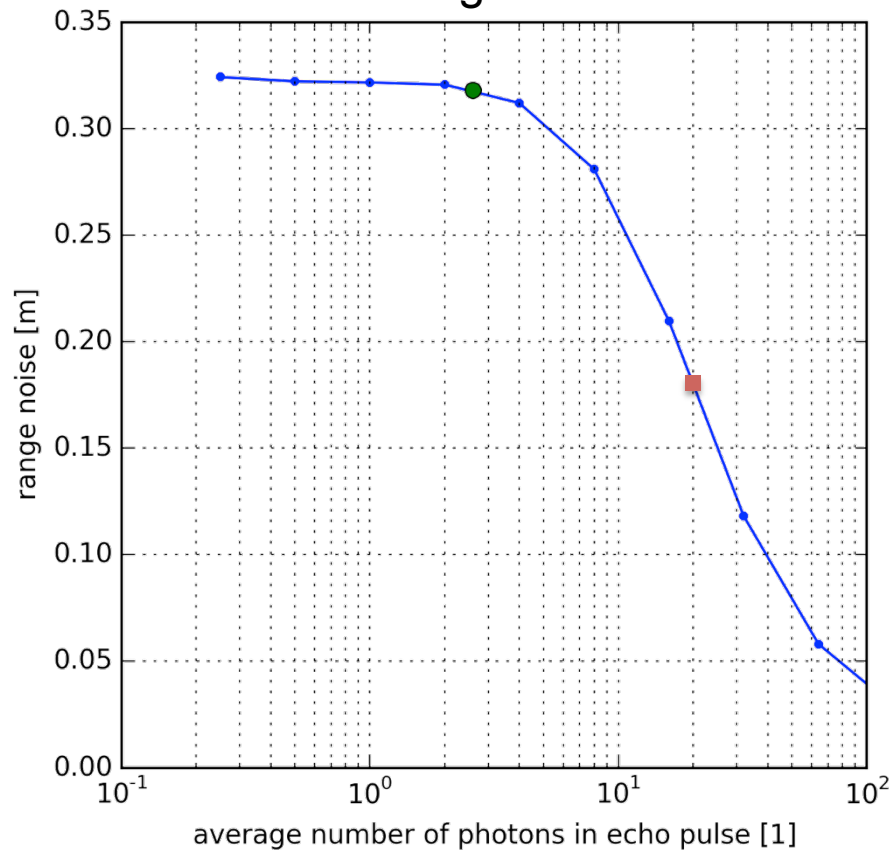
vertical flat target



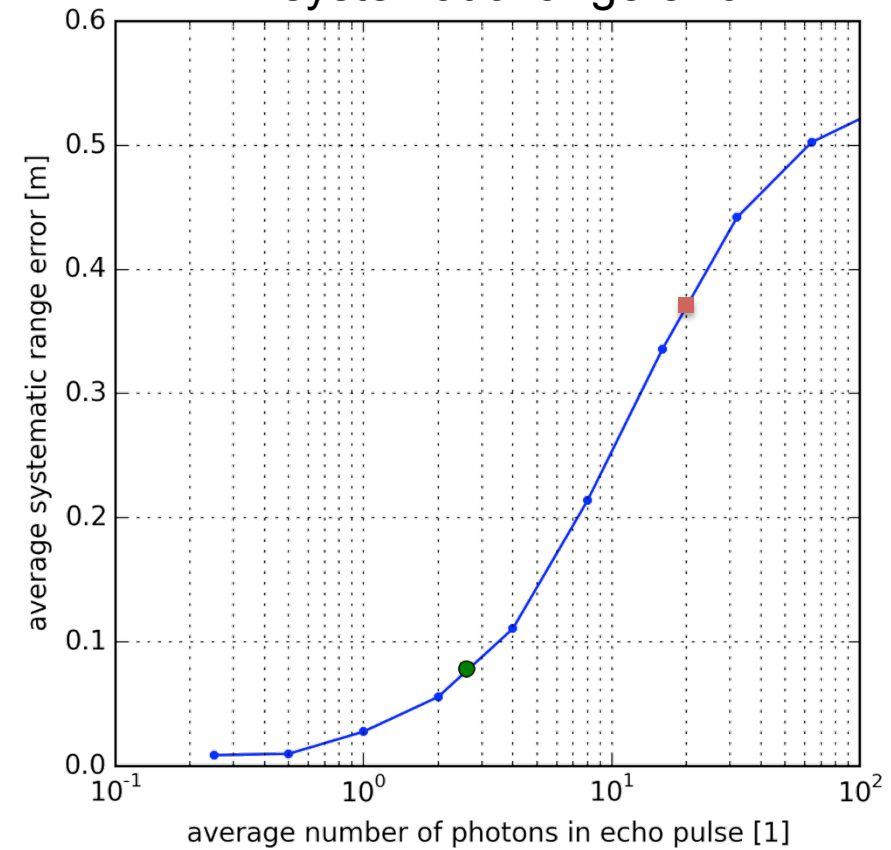
range noise – Geiger Mode LIDAR



range noise



systematic range error

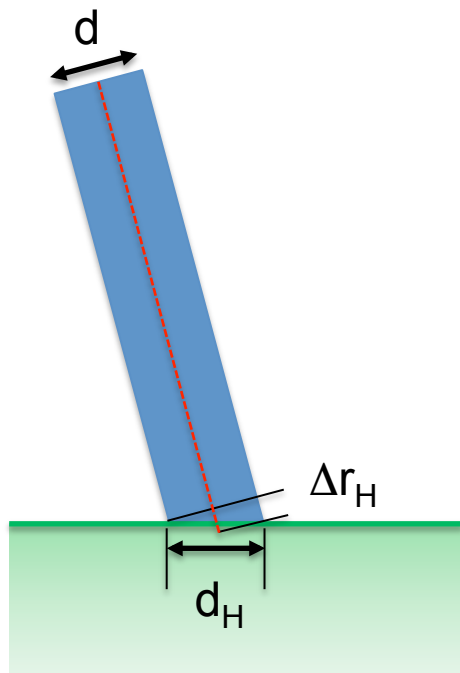


examples **vertical wall**: 2.6 photons on average, $1 \sigma = 32$ cm, systematic range error 8 cm
20 photons on average, $1 \sigma = 18$ cm, systematic range error 37 cm

range noise – Linear LIDAR



horizontal flat target

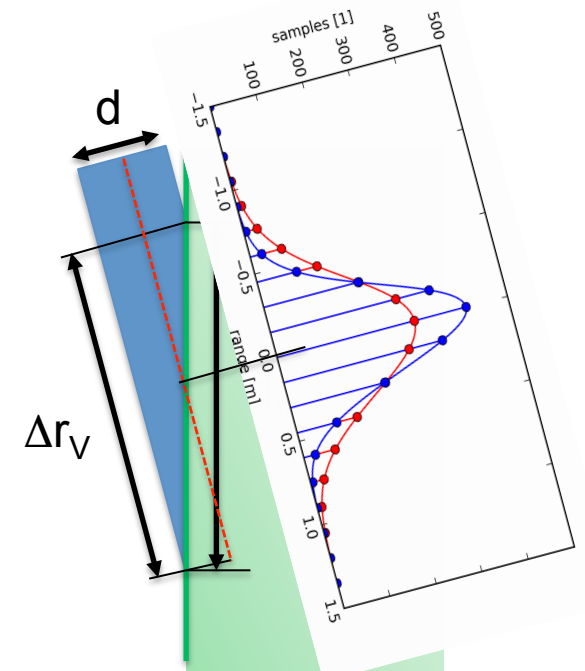


AGL = 1000 m
R = 1035 m
iFOV = 250 μ rad
d = 26 cm

$d_H = 27$ cm
 $\Delta r_H = 7$ cm

$d_V = 100$ cm
 $\Delta r_V = 97$ cm

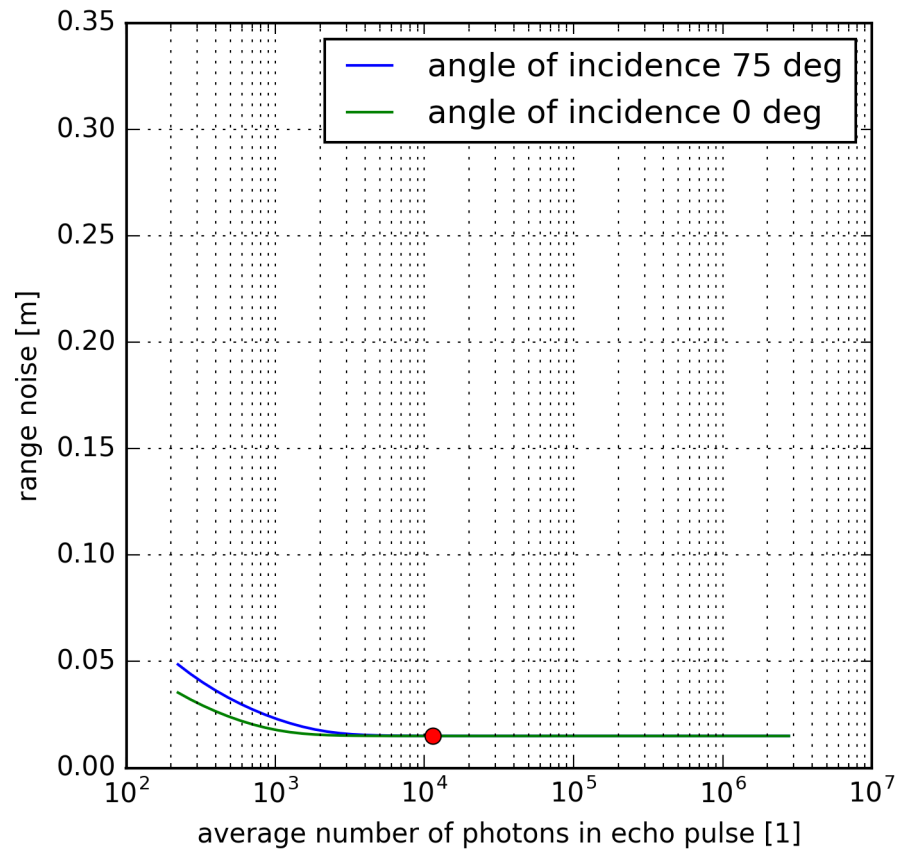
vertical flat target



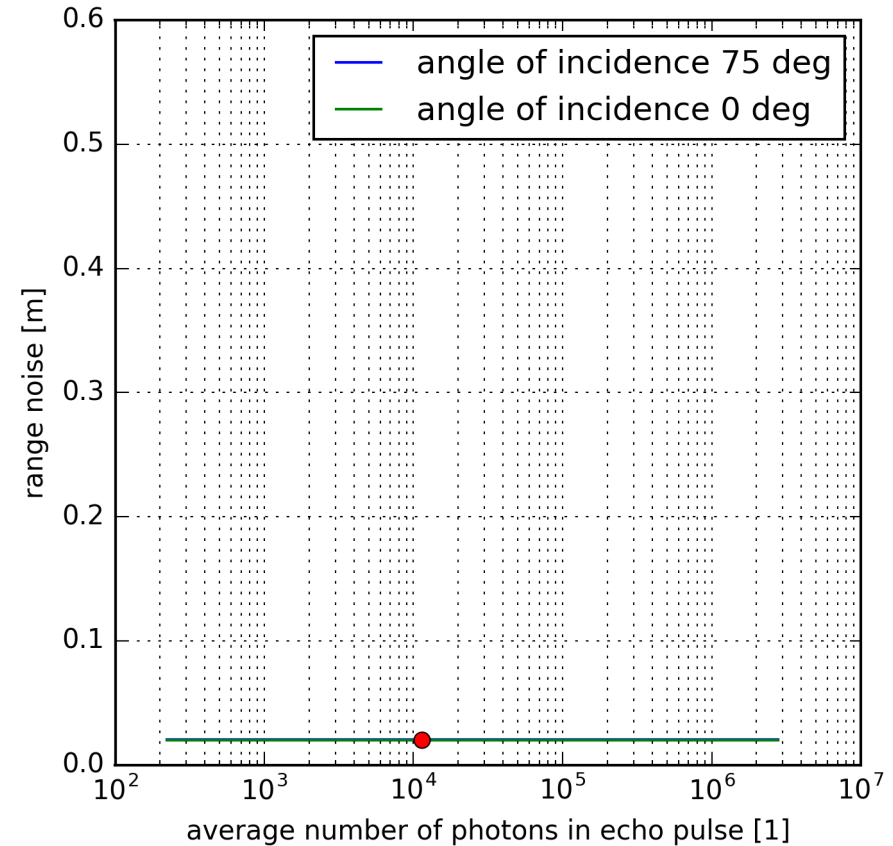
range noise – Linear LIDAR



range noise



systematic range error



example **vertical wall**: 11k photons on average, $1 \sigma = 1.5$ cm, systematic range error 2 cm

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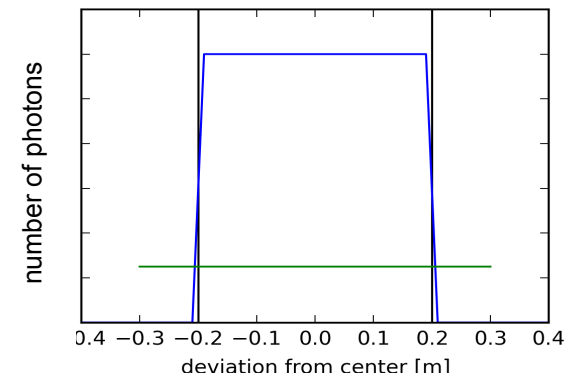
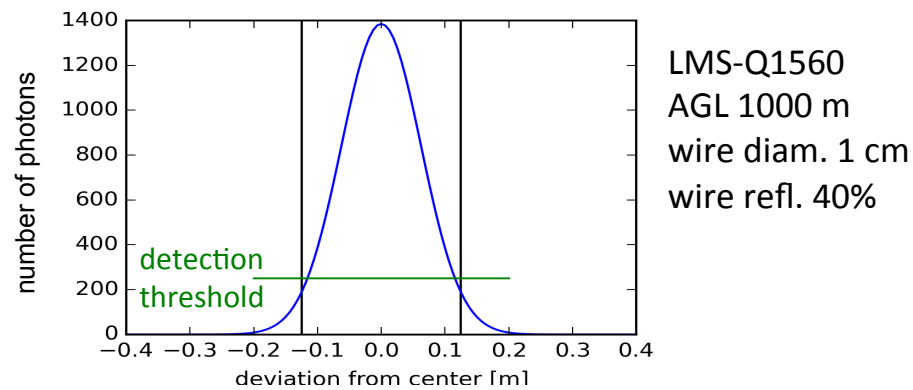
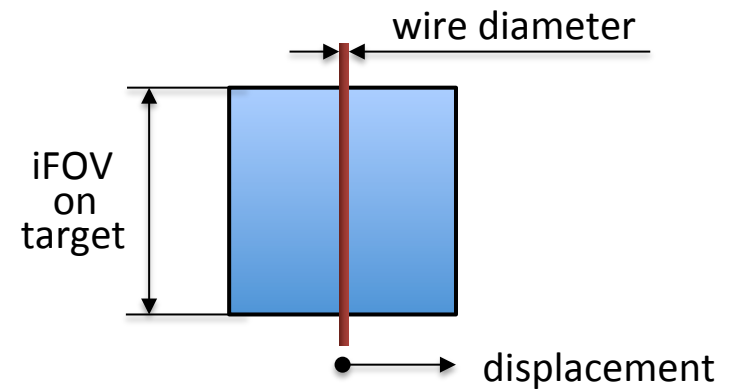
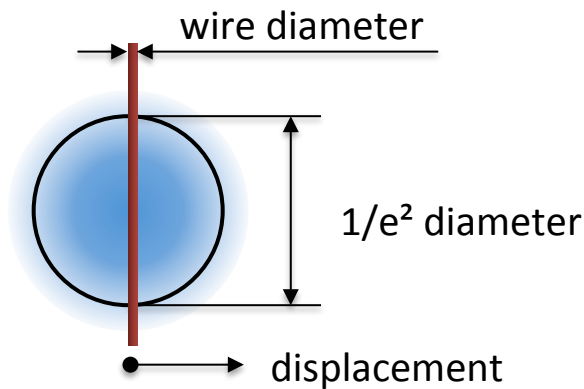
comparative summary



small objects and vegetation



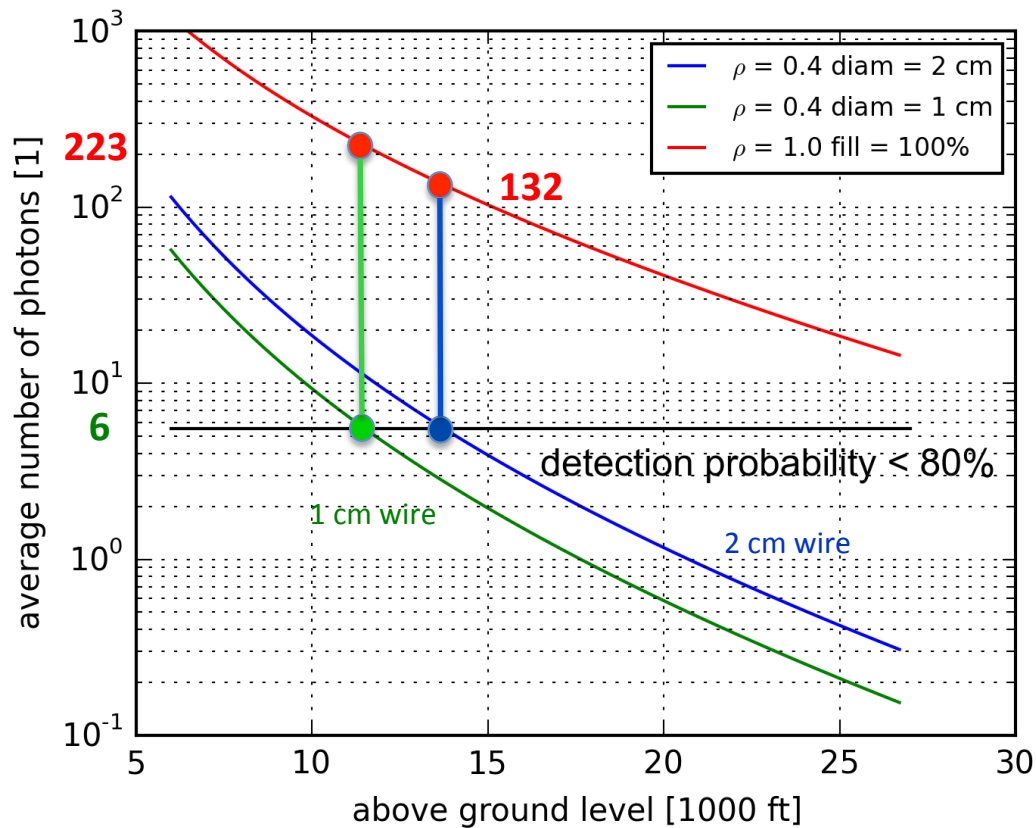
- objects like power lines, poles, buildings, small structures, street inventory, etc.
- example: wires of power lines, modelled by wire diameter (1 cm, 2 cm) and reflectivity 40%



small objects and vegetation



estimated average number of photons for GmLIDAR, visibility 23 km, iFOV 35 μ rad



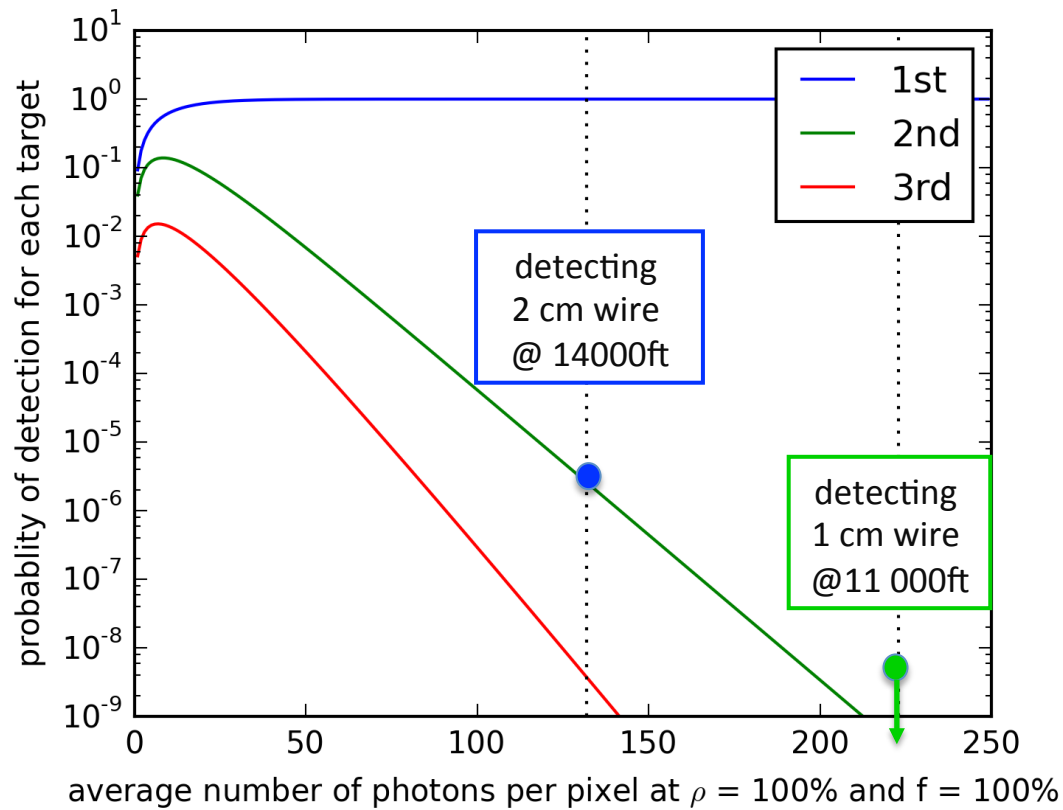
for 1 cm wire:
 AGL = 11 500 ft
 ground speed = 110 kts
 PRS = 18 Hz
 number of looks in center of swath = 10
 average number of photons for 100% reflectance and 100% fill factor: **223**

for 2 cm wire:
 AGL = 13 800 ft
 ground speed = 130 kts
 PRS = 17 Hz
 number of looks in center of swath = 10
 average number of photons for 100% reflectance and 100% fill factor: **132**

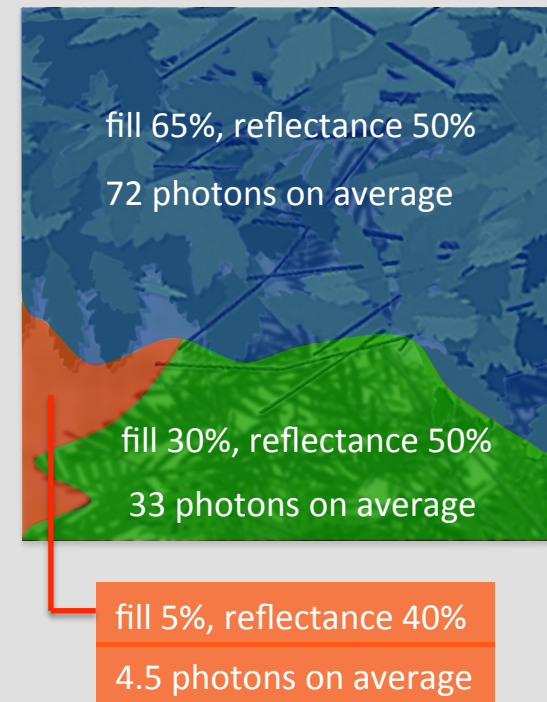
small objects and vegetation



target detection for dense canopy example



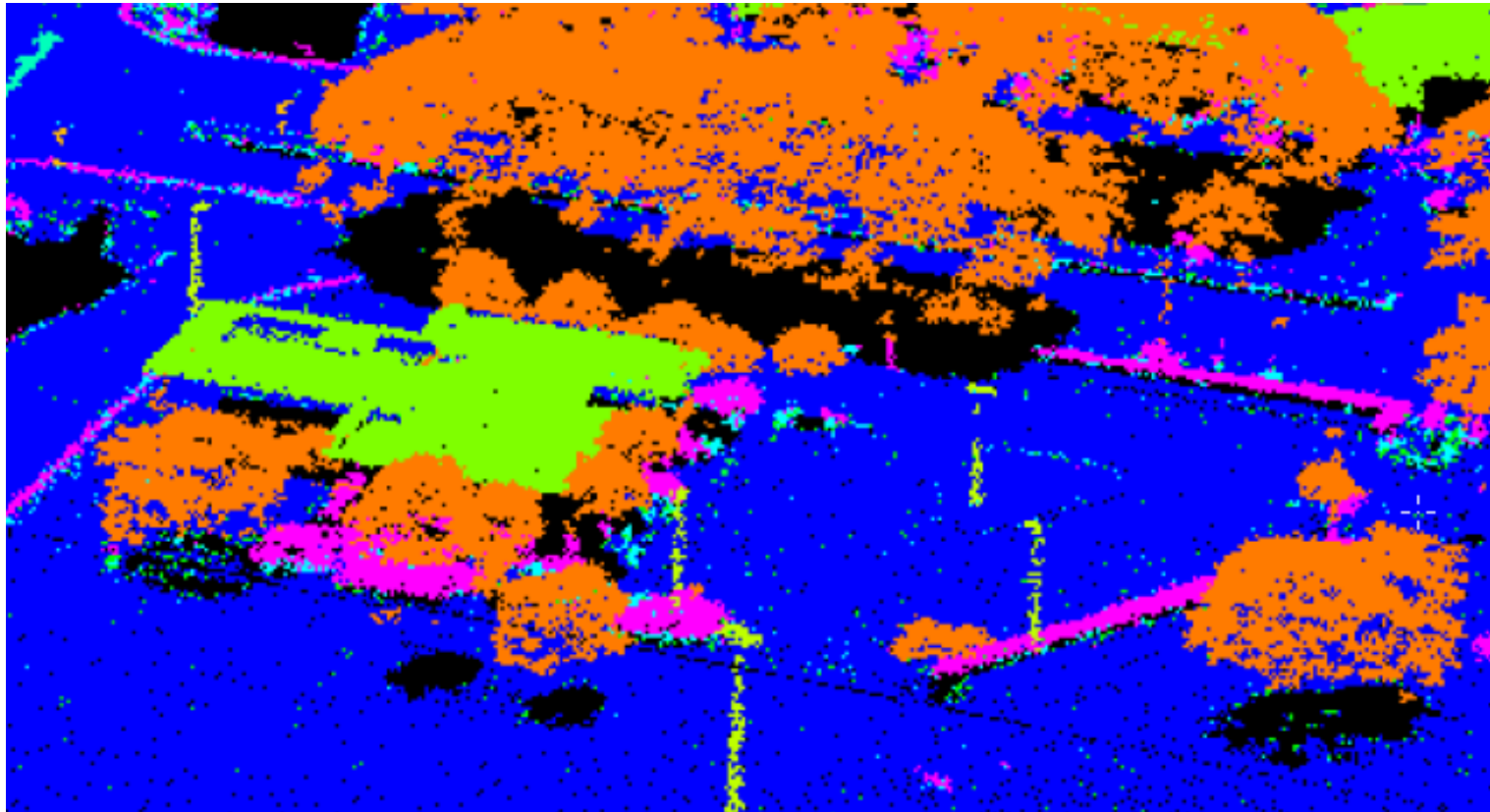
cross section through iFOV of a single pixel



simultaneous acquisition of power lines and DTM?



Geiger-Mode LIDAR: either / or. Not both at the same time

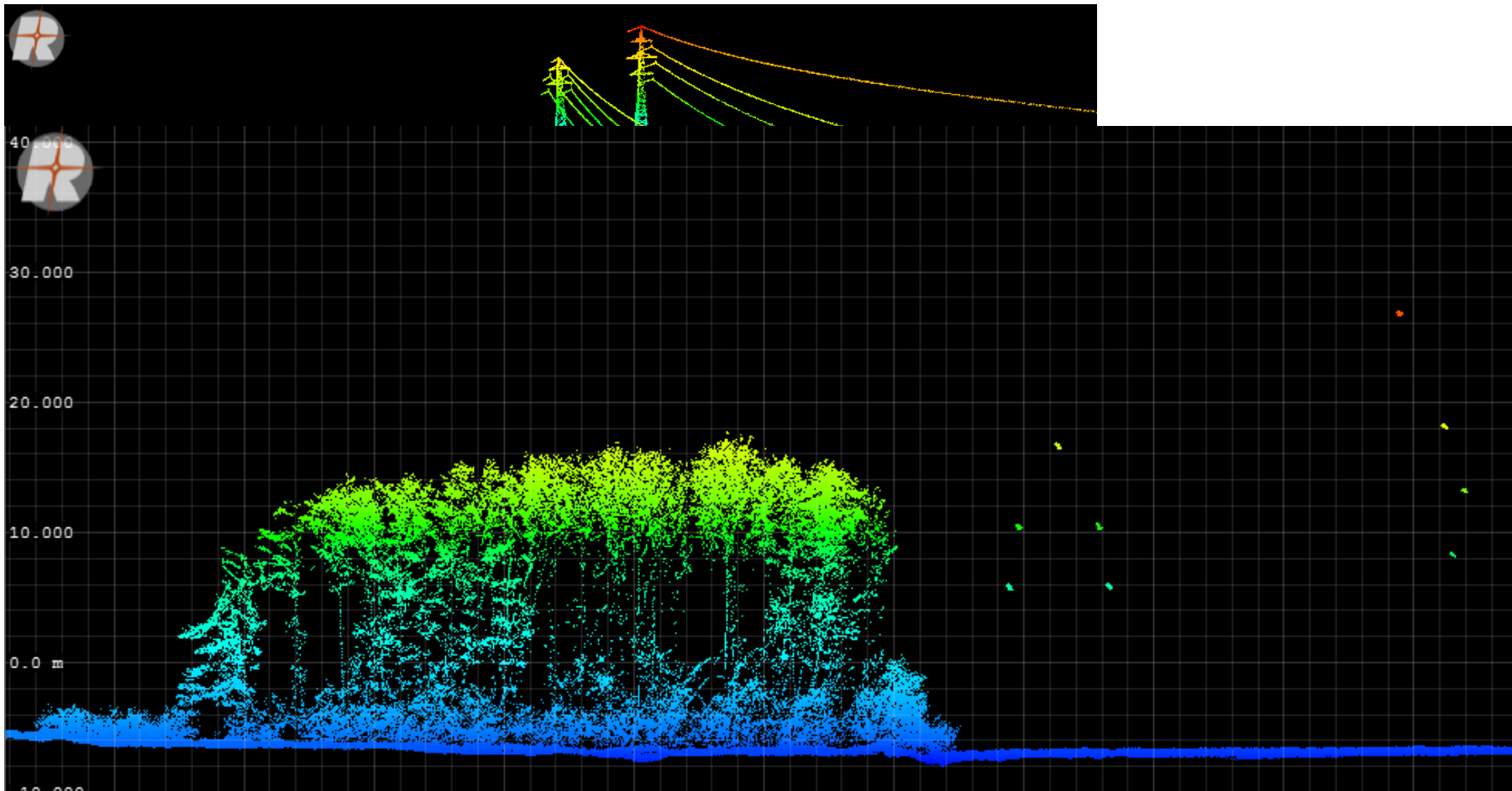


Rhoads, R., "Geiger-mode LiDAR mapping: High density, high volume airborne 3D imaging",
Capturing Reality 2015, Nov 24th 2015, Salzburg, Austria

simultaneous acquisition of power lines and DTM?



Linear LIDAR: example RIEGL LMS-Q1560, both at the same time



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summary



	Linear LIDAR	Single Photon LIDAR	Geiger Mode LIDAR	Dense Image Matching
acquisition speed for 8 meas/m ²	240 km ² /h	640 km ² /h	2100 km ² /h	~ 1000 km ² /h
day and night operation	YES	~	~	NO
small objects & DTM simultaneously	YES	YES	NO	NO
penetration of dense foliage	YES	YES	NO	NO
radiometric calibration capability	YES	NO	NO	YES
multiple wavelengths	YES	NO	~	YES
real time data	YES	~	NO	NO
accuracy	+	~	~	~